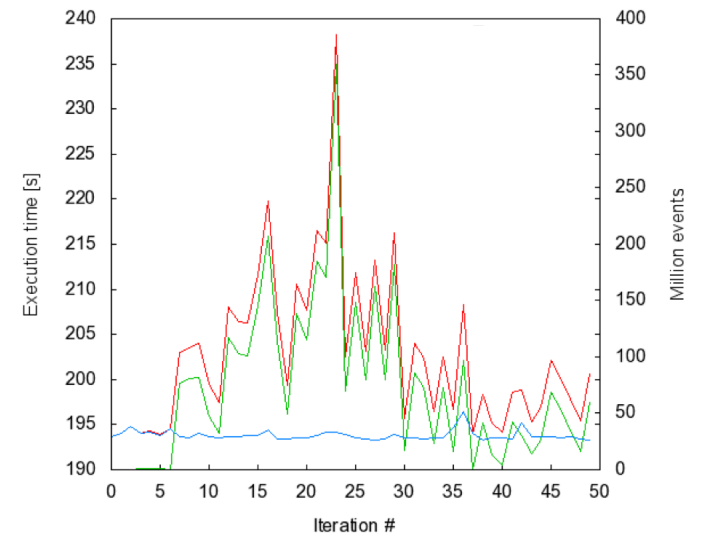




School of Computer Science & Engineering  
**COMP9242 Advanced Operating Systems**

2019 T2 Week 04b  
**Measuring and Analysing Performance**  
@GernotHeiser



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# Performance Considerations

## What is performance?

- Is there an absolute measure
- Is there a baseline for relative comparison?

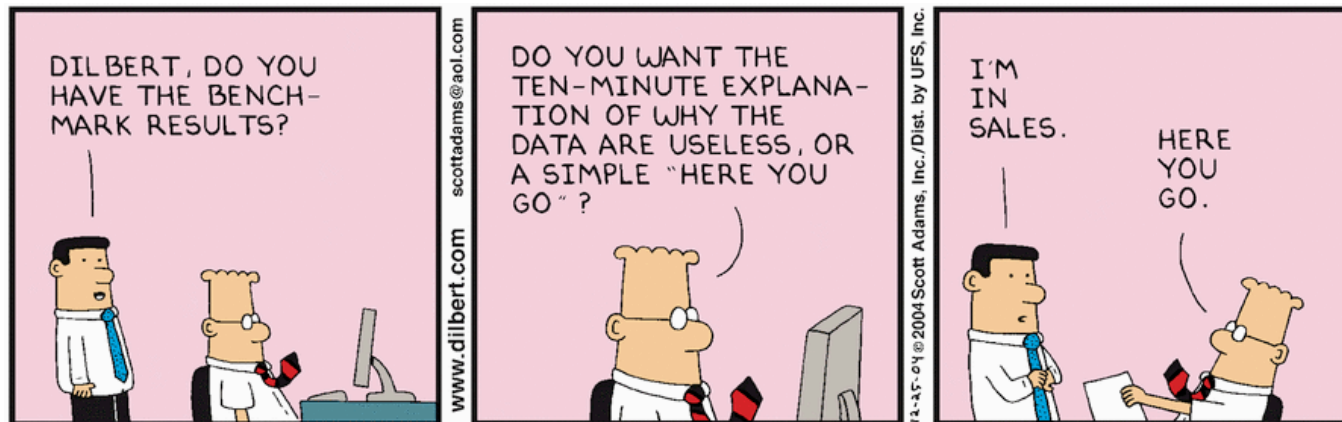
## What are we comparing?

- Best case? Nice, but useful?
- Average case? What defines “average”?
- Expected case? What defines it?
- Worst case? Is it really “worst” or just “bad”?

## Configuration matters:

- Hot cache – easy to do – or cold cache?
- What is most relevant for the purpose?

# Benchmarking



# Lies, Damned Lies, Benchmarks

## Considerations:

- Micro- vs macro-benchmarks
- Benchmark suites, use of subsets
- Completeness of results
- Significance of results
- Baseline for comparison
- Benchmarking ethics
- What is good? — Analysing the results

# Benchmarking in Research & Development

## Must satisfy two criteria:

- *Conservative*: no significant degradation due to your work
- *Progressive*: actual performance improvement in important cases
  - only needed if your work is actually about improving performance

## Must analyse and explain results!

- Discuss model of system
- Present *hypothesis* of behaviour
- Results must test and confirm hypothesis

### Objectivity and fairness:

- Appropriate baseline
- Fairly evaluate alternatives

# Micro- vs Macro-Benchmarks

## Microbenchmark

- Exercise particular operation

Micro-BMs are an analysis,  
not an assessment tool!

- drill down on performance

## Macrobenchmark

- Use realistic workload
- Aim to represent real-system perf

**Benchmarking crime: Using micro-benchmarks only**

# Standard vs Ad-Hoc Benchmarks

- Standard benchmarks are designed by experts
  - Representative workloads, reproducible and comparable results
  - Use them whenever possible!
  - Examples: SPEC, EEMBC, YCSB,...
- Only use ad-hoc benchmarks when you have no choice
  - no suitable standard
  - limitations of experimental system

Ad-hoc benchmarks reduce reducibility and generality, need strong justification!



# Obtaining an Overall Score for a BM Suite

Normalise to System X

Normalise to System Y

Does the mean make sense?

Geometric mean?

Invariant under normalisation!

Benchmark	System X		System Y		System Z	
	Abs	Rel	Abs	Rel	Abs	Rel
1	20	1.00	10	0.50	40	2.00
2	40	1.00	80	2.00	20	0.50
<b>Geom. mean</b>		<b>1.00</b>		<b>1.00</b>		<b>1.00</b>

Arithmetic mean is meaningless for relative numbers  
**Rule:** *arithmetic* mean for *raw* numbers,  
*geometric* mean for *normalised!* [Fleming & Wallace, '86]

# Benchmark Suite Abuse

“We evaluate performance using SPEC CPU2000. Fig 5 shows typical results.”

Subsetting introduces bias, makes score meaningless!

**Benchmarking crime: Using a subset of a suite**

Sometimes unavoidable (incomplete system) – treat with care, and justify well!

Results will have limited validity

# Beware Partial Data

Frequently seen: Measurements show 10% throughput degradation. Authors conclude “10% overhead”.

What degrades throughput?

CPU limited

Consider:

1. 100 Mb/s, 100% CPU → 90 Mb/s, 100% CPU
2. 100 Mb/s, 20% CPU → 90 MB/s, 40% CPU

Latency limited

Proper figure of merit is processing cost per unit data

1. 10  $\mu$ s/kb → 11  $\mu$ s/kb: **10% overhead**
2. 2  $\mu$ s/kb → 4.4  $\mu$ s/kb: **120% overhead**

**Benchmarking crime: Throughput degradation = overhead!**

# Profiling

# Profiling

- Run time collection of execution statistics
  - invasive (requires some degree of instrumentation)
  - therefore affects the execution it's trying to analyse
  - good profiling approaches minimise this interference

Avoid with HW debuggers, cycle-accurate simulators

gprof:

- compiles tracing code into program
- uses statistical sampling with post-execution analysis

Identify targets for performance tuning  
– complementary to microbenchmarks

# Example gprof output

Each sample counts as 0.01 seconds.

%	cumulative	self		self	total	
time	seconds	seconds	calls	ms/call	ms/call	name
33.34	0.02	0.02	7208	0.00	0.00	open
16.67	0.03	0.01	244	0.04	0.12	offtime
16.67	0.04	0.01	8	1.25	1.25	memccpy
16.67	0.05	0.01	7	1.43	1.43	write
16.67	0.06	0.01				mcount
0.00	0.06	0.00	236	0.00	0.00	tzset
0.00	0.06	0.00	192	0.00	0.00	tolower
0.00	0.06	0.00	47	0.00	0.00	strlen
0.00	0.06	0.00	45	0.00	0.00	strchr

Source: <http://sourceware.org/binutils/docs-2.19/gprof>

# Example gprof output

granularity: each sample hit covers 2 byte(s) for 20.00% of 0.05 seconds

```
index % time    self  children    called    name
                                     <spontaneous>
[1]   100.0    0.00    0.05
      0.00    0.05    1/1      main [2]
      0.00    0.00    1/2      on_exit [28]
      0.00    0.00    1/1      exit [59]
-----
      0.00    0.05    1/1      start [1]
[2]   100.0    0.00    0.05    1      main [2]
      0.00    0.05    1/1      report [3]
-----
      0.00    0.05    1/1      main [2]
[3]   100.0    0.00    0.05    1      report [3]
      0.00    0.03    8/8      timelocal [6]
```

# Performance Monitoring Unit (PMU)

- Collects certain *events* at run time
- Typically supports many events, small number of *event counters*
  - Events refer to hardware (micro-architectural) features
    - Typically relating to instruction pipeline or memory hierarchy
    - Dozens or hundreds
- Counter can be bound to a particular event
  - via some configuration register, typically 2–4
- Counters can trigger exception on exceeding threshold
- OS can sample counters

Linux PMU interface: **oprof**  
Can profile kernel and userland



# Example oprof Output

```
$ oprofile --exclude-dependent
CPU: PIII, speed 863.195 MHz (estimated)
Counted CPU_CLK_UNHALTED events (clocks processor is not halted) with a ...
450385 75.6634 cc1plus
60213 10.1156 lyx
29313 4.9245 XFree86
11633 1.9543 as
10204 1.7142 oprofiled
7289 1.2245 vmlinux
7066 1.1871 bash
6417 1.0780 oprofile
6397 1.0747 vim
3027 0.5085 wineserver
1165 0.1957 kdeinit
```

Performance counter used

Count Percentage

Profiler

Source: <http://oprofile.sourceforge.net/examples/>

# Example oprof Output

```
$ oprofile
```

```
CPU: PIII, speed 863.195 MHz (estimated)
```

```
Counted CPU_CLK_UNHALTED events (clocks processor is not halted) with a ...
```

```
506605 54.0125 cclplus
```

```
450385 88.9026 cclplus
```

```
28201 5.5667 libc-2.3.2.so
```

```
27194 5.3679 vmlinux
```

```
677 0.1336 uhci_hcd
```

```
...
```

```
163209 17.4008 lyx
```

```
60213 36.8932 lyx
```

```
23881 14.6322 libc-2.3.2.so
```

```
21968 13.4600 libstdc++.so.5.0.1
```

```
13676 8.3794 libpthread-0.10.so
```



Drilldown of top consumers

# PMU Event Examples: ARM11 (Armv6)

Ev #	Definition	Ev #	Definition	Ev #	Definition
0x00	I-cache miss	0x0b	D-cache miss	0x22	...
0x01	Instr. buffer stall	0x0c	D-cache writeback	0x23	Funct. call
0x02	Data depend. stall	0x0d	PC changed by SW	0x24	Funct. return
0x03	Instr. micro-TLB miss	0x0f	Main TLB miss	0x25	Funct. ret. predict
0x04	Data micro-TLB miss	0x10	Ext data access	0x26	Funct. ret. mispred
0x05	Branch executed	0x11	Load-store unit stall	0x30	...
0x06	Branch mispredicted	0x12	Write-buffer drained	0x38	...
0x07	Instr executed	0x13	Cycles FIRQ disabled	0xff	Cycle counter
0x09	D-cache acc cachable	0x14	Cycles IRQ disabled		
0x0a	D-cache access any	0x20	...		

Developer's best friend!

# Performance Analysis

# Significance of Measurements

- Standard approach: repeat & collect stats
- Computer systems are high deterministic
  - Typically variances are tiny, except across WAN

All measurements are subject to random errors

Watch for divergence from this hypothesis, could indicate *hidden parameters!*

**Benchmarking crime: No indication of significance of data!**

Always show standard deviations, or clearly state they are tiny!

# How to Measure and Compare Performance

## Bare-minimum statistics:

- At least report the mean ( $\mu$ ) and standard deviation ( $\sigma$ )
  - Don't believe any effect that is less than a standard deviation
    - $10.2 \pm 1.5$  is not significantly different from 11.5
  - Be highly suspicious if it is less than two standard deviations
    - $10.2 \pm 0.8$  may not be different from 11.5

For systems work, must be very suspicious if  $\sigma$  is *not* small!

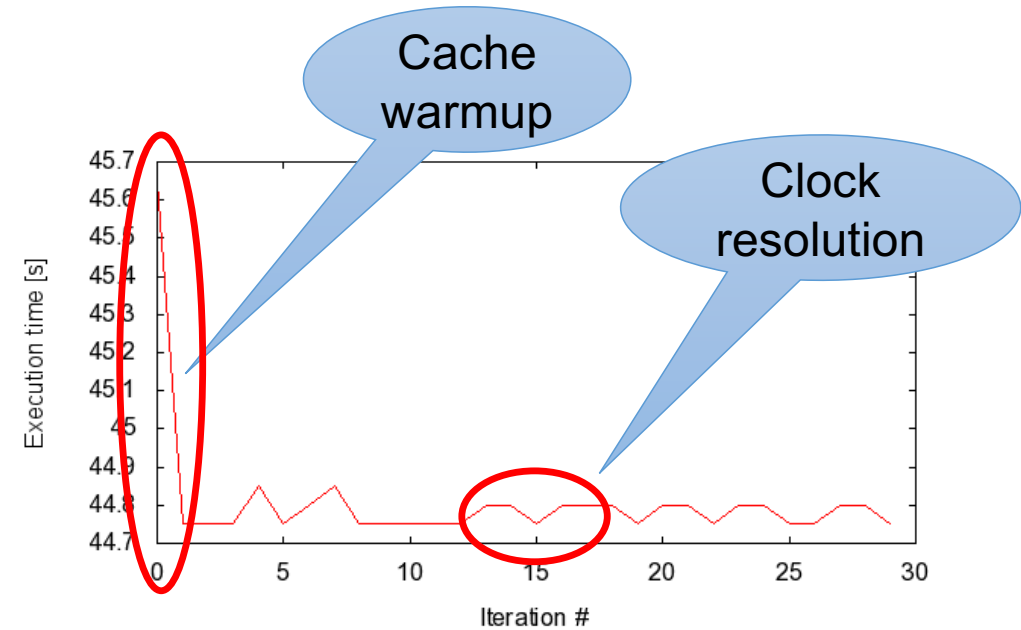
Standard deviation is meaningless for small samples!

- Ok if effect  $\gg \sigma$
- use t-test if in doubt!

# Example from SPEC CPU2000

## Observations:

- First iteration is special
- 20 Hz timer: accuracy 0.1 s!



Lesson: Need mental model of system, look for hidden parameters if model fails!

# How To Measure and Compare Performance

## Noisy data:

- Eliminate sources of noise, re-run from same initial state
  - single-user mode
  - dedicated network
- Not always possible, may have to live with noise
- Possible ways out:
  - ignore highest & lowest values
  - take floor of data
    - maybe minimum is what matters



- Proceed with extreme care!
- Document and justify!



# How To Measure and Compare Performance

## Vary inputs, check outputs!

- Vary data *and* addresses!
  - eg time-stamp or randomise inputs
  - be careful with sequential patterns!
- Check outputs are correct
  - read back after writing and compare
- Complete checking infeasible?
  - do spot checks
  - run with checking on/off

## Beware optimisations!

- compilers eliminating code
- disks pre-fetching, de-duplicating



- True randomness may affect reproducibility
- Use pseudo-random with same seed

# Real-World Example

## Benchmark:

- `300.twolf` from SPEC CPU2000 suite

## Platform:

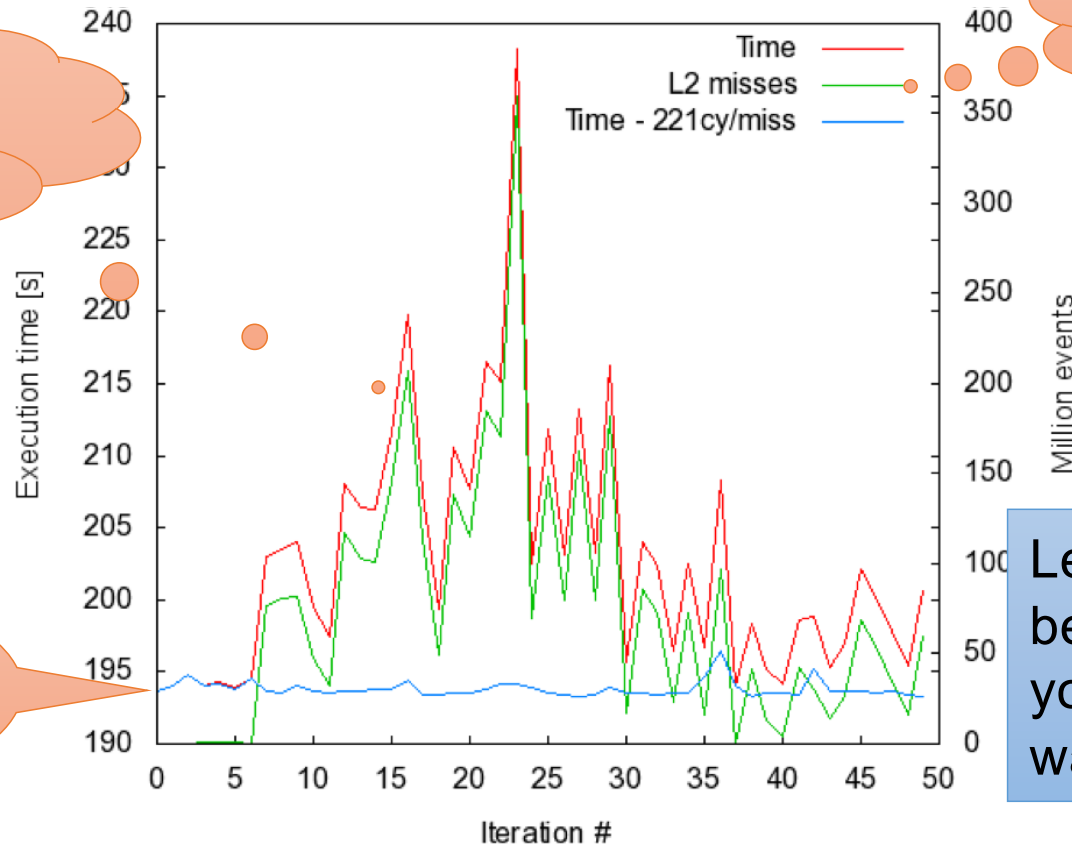
- Dell Latitude D600
  - Pentium M @ 1.8GHz
  - 32KiB L1 cache, 8-way
  - 1MiB L2 cache, 8-way
  - DDR memory @ effective 266MHz
- Linux kernel version 2.6.24

## Methodology:

- Multiple identical runs for statistics...

# twolf on Linux – What’s Going On?

20% performance difference between “identical” runs!



Performance counters are your best friends!

Subtract 221 cycles (123ns) for each cache miss

Lesson: Check system behaves according to your model – large  $\sigma$  was the giveaway!

# A Few More Performance Evaluation Rules

- Vary one parameter at a time
- Record all configurations
- Measure as directly as possible
- Avoid incorrect conclusions from pathological data
  - sequential vs random access may mess with prefetching
  - $2^n$  vs  $2^{n-1}$ ,  $2^{n+1}$  sizes may mess with caching

What is pathological depends a lot on circumstances!

# Most Important: Use a Model/Hypothesis

## **Model of the system that says how the system should behave**

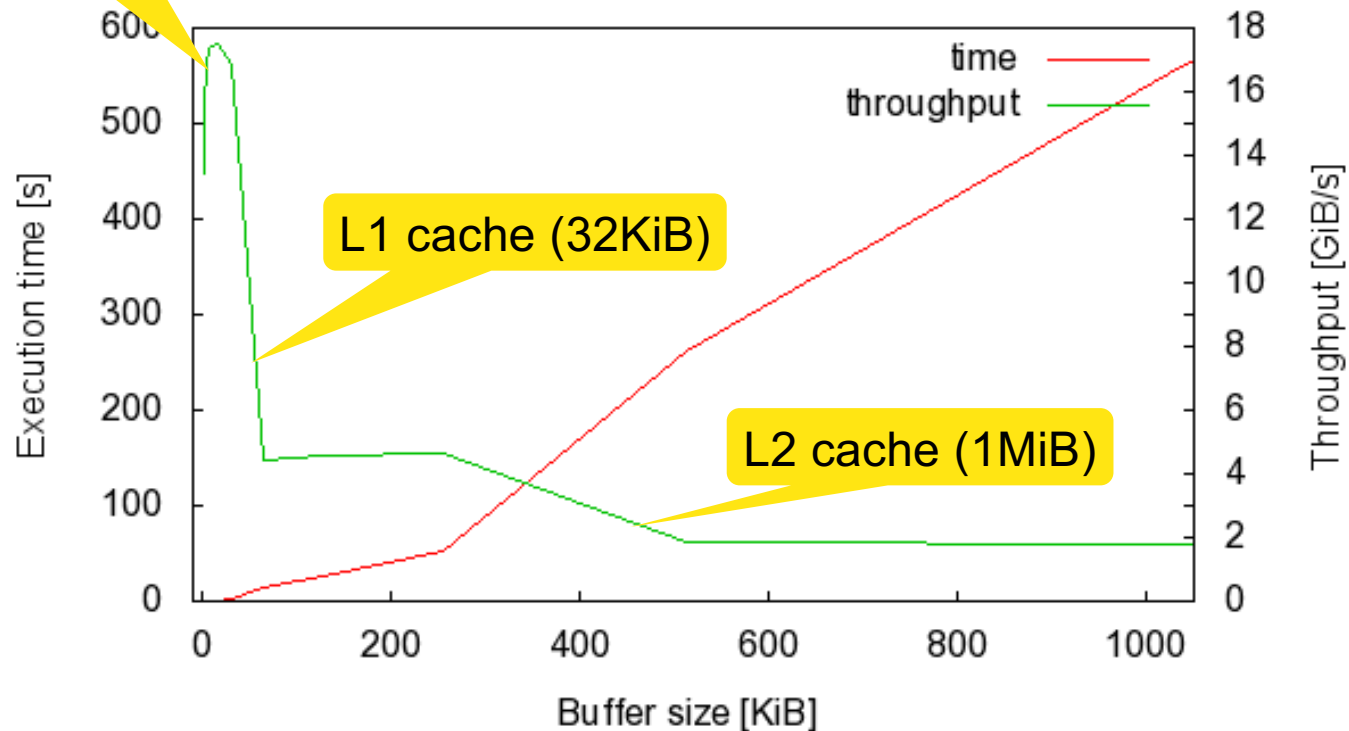
- Benchmarking should aim to support or disprove that model
- Need to think about this in selecting data, evaluating results, e.g:
  - I/O performance dependent on FS layout, caching in controller...
  - Cache sizes (HW & SW caches)
  - Buffer sizes vs cache size

Always check your system behaves according to the model!

# Example: Memory Copy

Pipelining,  
loop overhead

Hypothesis: Execution  
time vs buffer size?

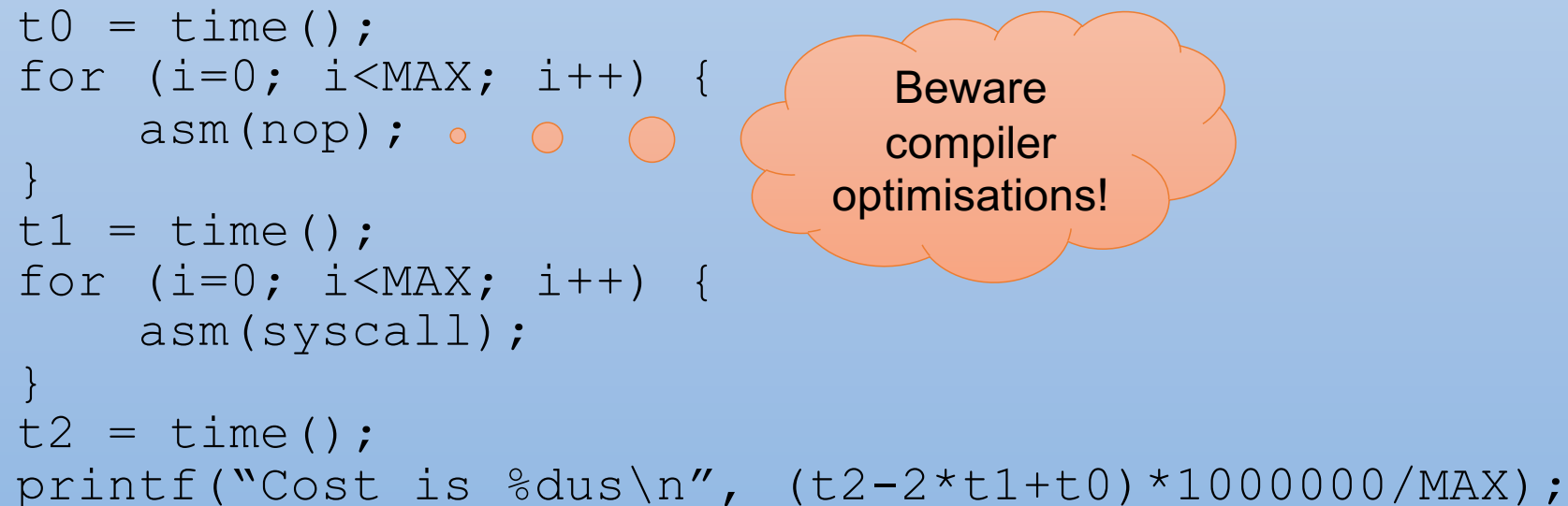


Make sure you  
understand all  
results!

# Loop and Timing Overhead

- Ensure measurement overhead does not affect results!
- Eliminate by measuring in tight loop, subtract timer cost

```
t0 = time();
for (i=0; i<MAX; i++) {
    asm(nop);
}
t1 = time();
for (i=0; i<MAX; i++) {
    asm(syscall);
}
t2 = time();
printf("Cost is %dus\n", (t2-2*t1+t0)*1000000/MAX);
```

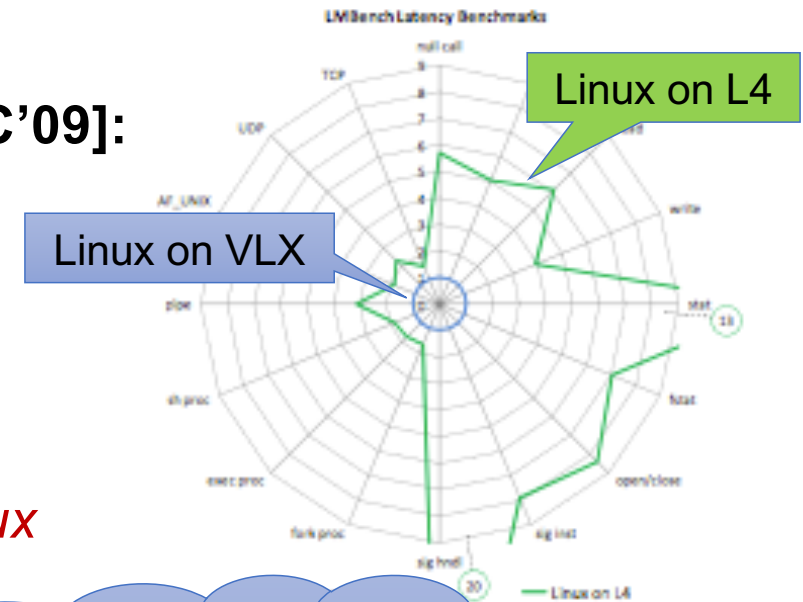


Beware compiler optimisations!

# Relative vs Absolute Data

From a real paper [Armand&Gien, IEEE CCNC'09]:

- No data other than this figure
- No figure caption
- Only explanation in text:
  - “*The L4 overhead compared to VLX ranges from a 2x to 20x factor depending on the Linux system call benchmark*”
- No definition of “overhead factor”
- No native Linux data



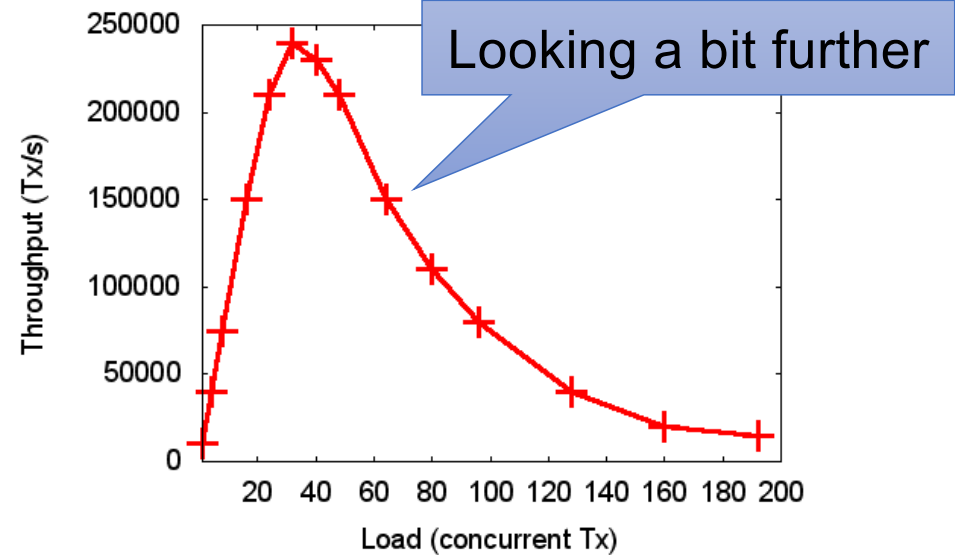
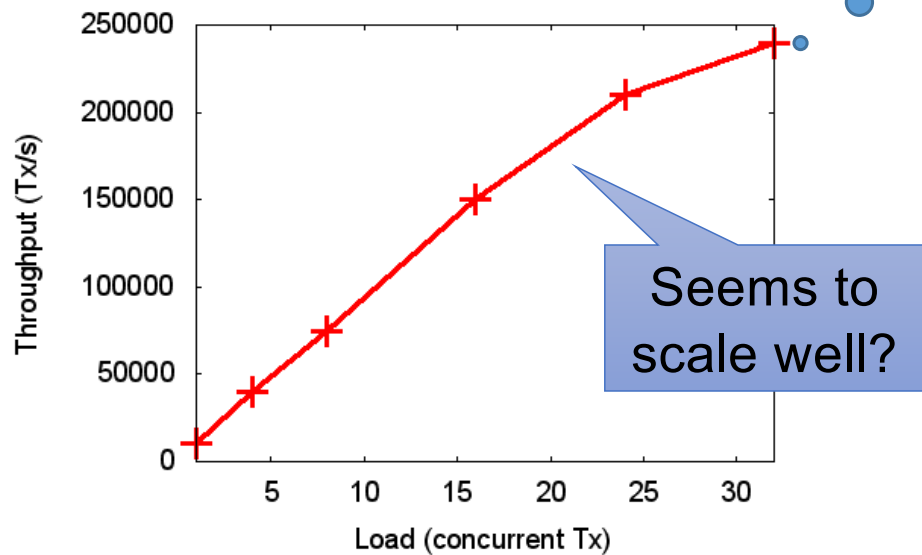
**Benchmarking crime: Relative numbers only!**



# Data Range

## Example: Scaling database load

32-core machine



**Benchmarking crime: Selective data set hiding deficiencies!**

# Benchmarking Ethics

## Comparisons with prior work

- Sensible and necessary, but must be fair!
  - Comparable setup/equipment
  - Prior work might have different focus, must understand & acknowledge
    - eg they optimised for multicore scalability, you for mobile-system energy
  - Ensure you choose appropriate configuration
  - Make sure you understand what's going on!

**Benchmarking crime: Unfair benchmarking of competitor!**

# Other Ways of Cheating with Benchmarks

- Benchmark-specific optimisations
  - Recognise particular benchmark, insert BM-specific optimised code
  - Popular with compiler-writers
  - Pioneered for smartphone performance by Samsung  
<http://bgr.com/2014/03/05/samsung-benchmark-cheating-ends>
- Benchmarking simulated system
  - ... with simulation simplifications matching model assumptions
- Uniprocessor benchmarks to “measure” multicore scalability
  - ... by running multiple copies of benchmark on different cores
- CPU-intensive benchmark to “measure” networking performance

These are simply lies, and I've seen them all!

# Understanding Performance

# What is “Good” Performance?

- Easy if improving recognised state of the art
  - E.g. improving best Linux performance (where optimised)
- Harder if no established best-of-class baseline:
  - Evaluate best-of-breed system yourself
  - Establish performance limits
    - Theoretical optimal scenario
    - Hardware-imposed performance limits.

Remember: progressive and conservative criterion!

Remember: BM ethics!

Most elegant, but hardest!

# Real-World Example: Virtualisation Overhead

## Symbian null-syscall microbenchmark:

- Native:  $0.24\mu\text{s}$ , virtualized (on OKL4):  $0.79\mu\text{s}$ .
  - 230% overhead
- ARM11 processor runs at 368 MHz:
  - Native:  $0.24\mu\text{s} = 93 \text{ cy}$
  - Virtualized:  $0.79\mu\text{s} = 292 \text{ cy}$
  - Overhead:  $0.55\mu\text{s} = 199 \text{ cy}$
  - Cache-miss penalty  $\approx 20 \text{ cy}$
- **Model:**
  - native: 2 mode switches, 0 context switches, 1  $\times$  save+restore state
  - virt.: 4 mode switches, 2 context switches, 3  $\times$  save+restore state

Good  
or bad?

Expected  
overhead?

# Performance Counters Are Your Friends!

Counter	Native	Virtualized	Difference
Branch miss-pred	1	1	0
D-cache miss	0	0	0
I-cache miss	0	1	1
D- $\mu$ TLB miss	0	0	0
I- $\mu$ TLB miss	0	0	0
Main-TLB miss	0	0	0
Instructions	30	125	95
D-stall cycles	0	27	27
I-stall cycles	0	45	45
Total Cycles	93	292	199



# More of the Same

First step:  
improve  
representation!

Benchmark	Native	Virtualized
Context switch [1/s]	615,046	444,504
Create/close [ $\mu$ s]	11	15
Suspend [10ns]	81	154

Second step:  
overheads in  
appropriate units!

Further Analysis shows  
guest dis- & enables  
IRQs 22 times!

Benchmark	Native	Virt.	Diff [ $\mu$ s]	Diff [cy]	# sysc	Cy/sysc
Context switch [ $\mu$ s]	1.63	2.25	0.62	230	1	230
Create/close [ $\mu$ s]	11	15	4	1472	2	736
Suspend [ $\mu$ s]	0.81	1.54	0.73	269	1	269



# And Another One...

Good or bad?

Benchmark	Native [ $\mu$ s]	Virt. [ $\mu$ s]	Overhead	Per tick
TDes16_Num0	1.2900	1.2936	0.28%	2.8 $\mu$ s
TDes16_RadixHex1	0.7110	0.7129	0.27%	2.7 $\mu$ s
TDes16_RadixDecimal2	1.2338	1.2373	0.28%	2.8 $\mu$ s
TDes16_Num_RadixOctal3	0.6306	0.6324	0.28%	2.8 $\mu$ s
TDes16_Num_RadixBinary4	1.0088	1.0116	0.27%	2.7 $\mu$ s
TDesC16_Compare5	0.9621	0.9647	0.27%	2.7 $\mu$ s
TDesC16_CompareF7	1.9392	1.9444	0.27%	2.7 $\mu$ s
TdesC16_MatchF9	1.1060	1.1090	0.27%	2.7 $\mu$ s

Timer interrupt virtualization overhead!

# Lessons Learned

- Ensure stable results
  - Get small variances, investigate if they are not
- Have a model of what to expect
  - Investigate if behaviour is different
  - Unexplained effects are likely to indications of problems – don't ignore!
- Tools are your friends
  - Performance counters
  - Simulators
  - Traces
  - Spreadsheets

Annotated list of benchmarking crimes:  
<http://gernot-heiser.org/benchmarking-crimes.html>