cse

Events, Co-routines, Continuations and Threads
-
OS (and application) Execution Models

System Building

- General purpose systems need to deal with
  - Many activities
    - potentially overlapping
    - may be interdependent
  - Activities that depend on external phenomena
    - may requiring waiting for completion (e.g. disk read)
    - reacting to external triggers (e.g. interrupts)
- Need a systematic approach to system structuring

© Kevin Elphinstone

Construction Approaches

- Events
- Coroutines
- Threads
- Continuations

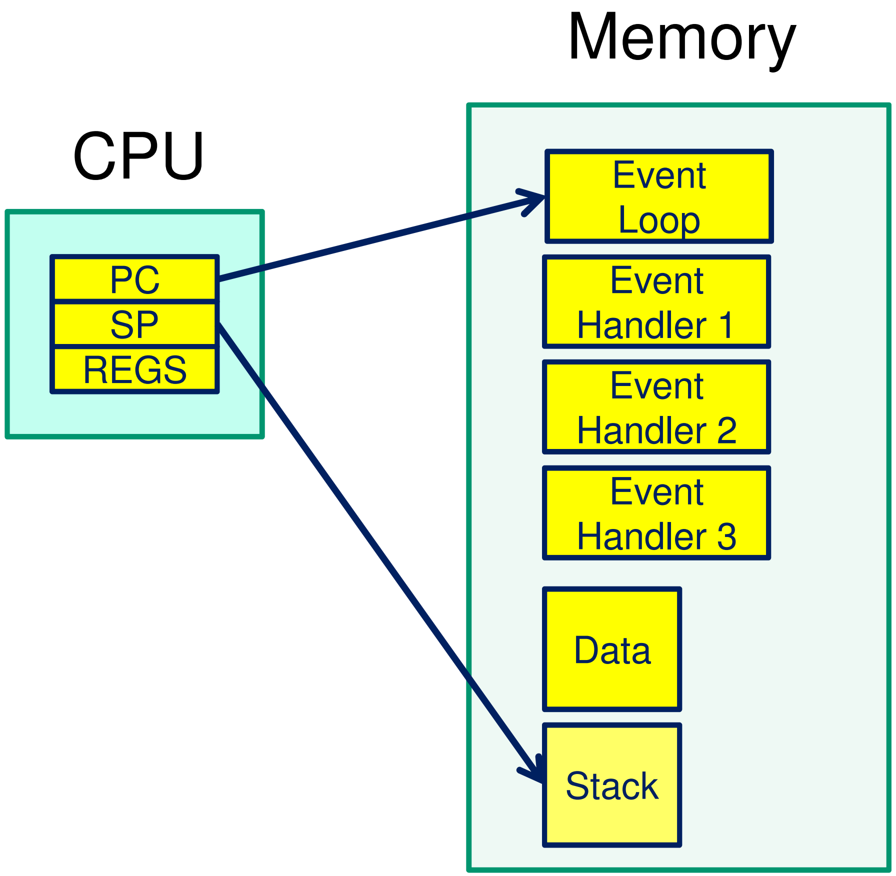
© Kevin Elphinstone

Events

- External entities generate (post) events.
  - keyboard presses, mouse clicks, system calls
- *Event loop* waits for events and calls an appropriate *event handler.*
  - common paradigm for GUIs
- *Event handler* is a function that runs until completion and returns to the *event loop.*

© Kevin Elphinstone

Event Model



- The event model only requires a single stack
  - All event handlers must return to the event loop
    - No blocking
    - No yielding
- No preemption of handlers
  - Handlers generally short lived

THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

What is ‘a’?

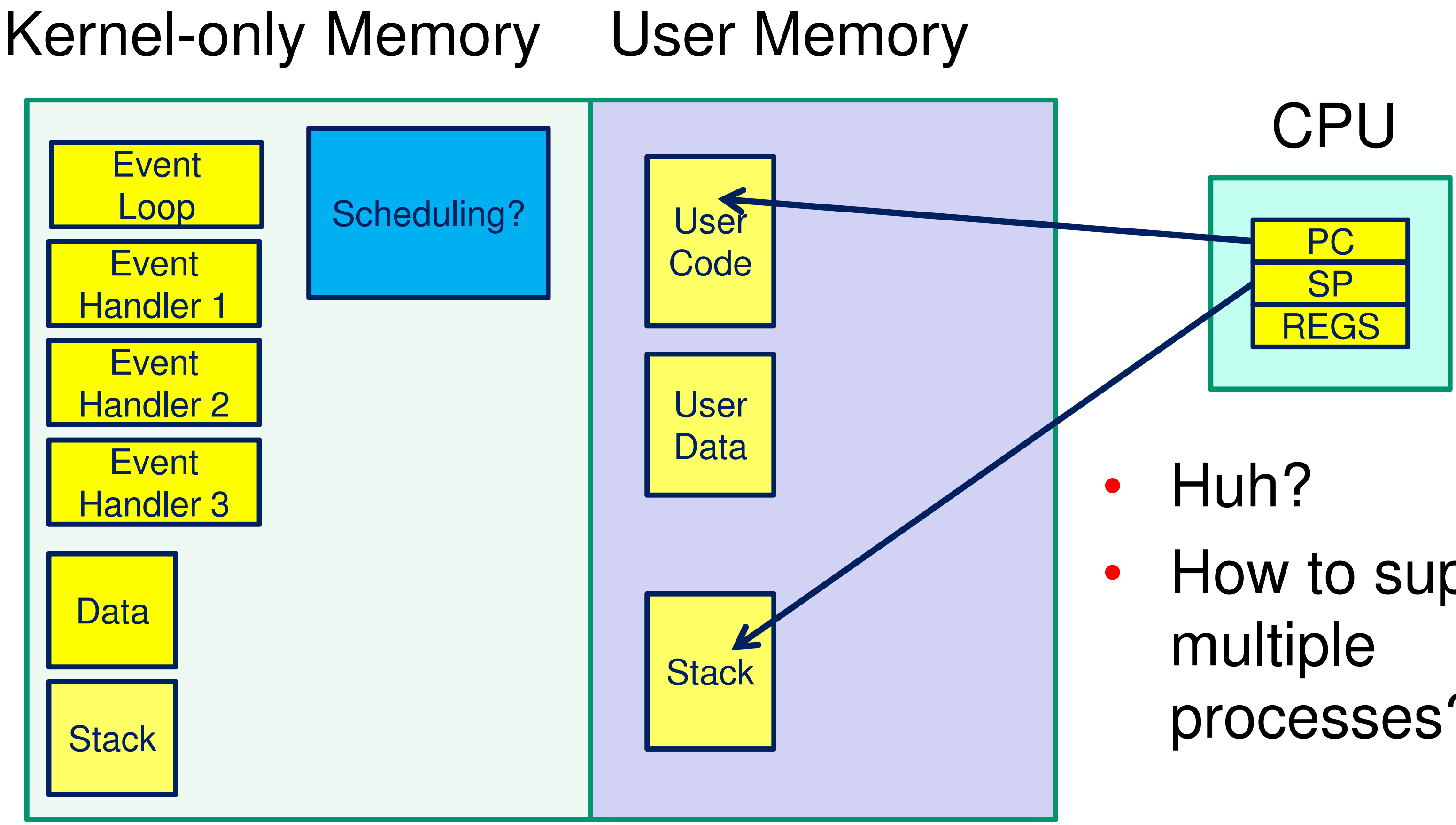
```
int a; /* global */

int func()
{
    a = 1;
    if (a == 1) {
        a = 2;
    }
    return a;
}
```

No concurrency issues within a handler

THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

Event-based kernel on CPU with protection

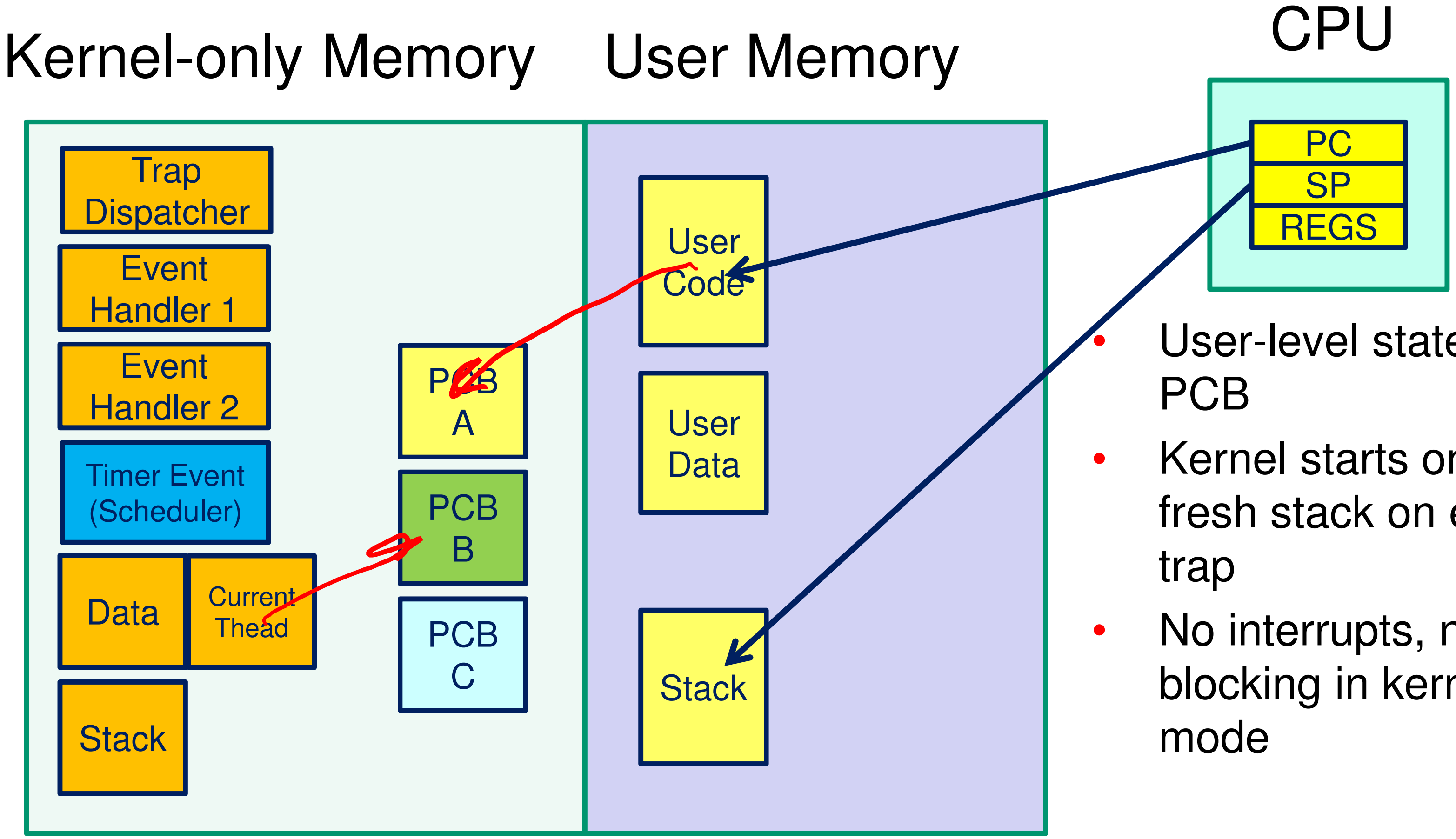


- Huh?
- How to support multiple processes?

THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

Event-based kernel on CPU with protection



- User-level state in PCB
- Kernel starts on fresh stack on each trap
- No interrupts, no blocking in kernel mode

THE UNIVERSITY OF NEW SOUTH WALES

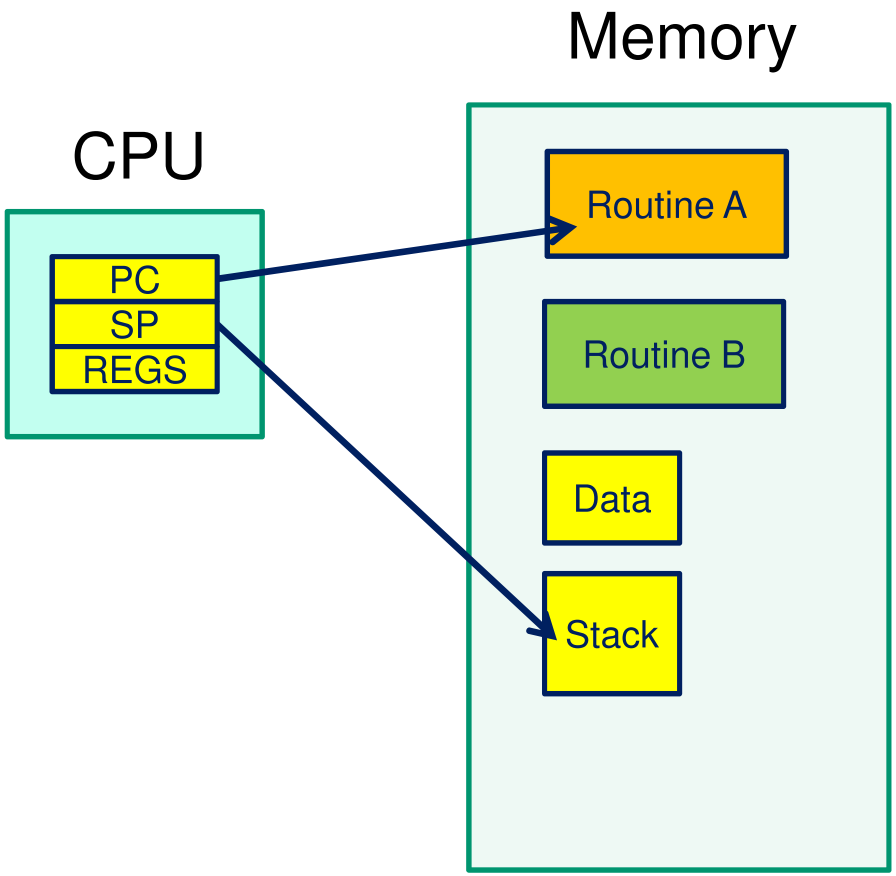
© Kevin Elphinstone

Co-routines

- Originally described in:
  - Melvin E. Conway. 1963. Design of a separable transition-diagram compiler. *Commun. ACM* 6, 7 (July 1963), 396-408. DOI=http://dx.doi.org/10.1145/366663.366704
- Analogous to a "subroutine" with extra entry and exit points.
- Via yield()
  - Supports long running subroutines
  - An implement sync primitives that wait for a condition to be true

© Kevin Elphinstone

Co-routines



- yield() saves state of routine A and starts routine B
  - or resumes B's state from its previous yield() point.
- No preemption

THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

What is ‘a’?

```
int a; /* global */

int func()
{
    a = 1;
    yield();
    if (a == 1) {
        a = 2;
    }
    return a;
}
```


© Kevin Elphinstone

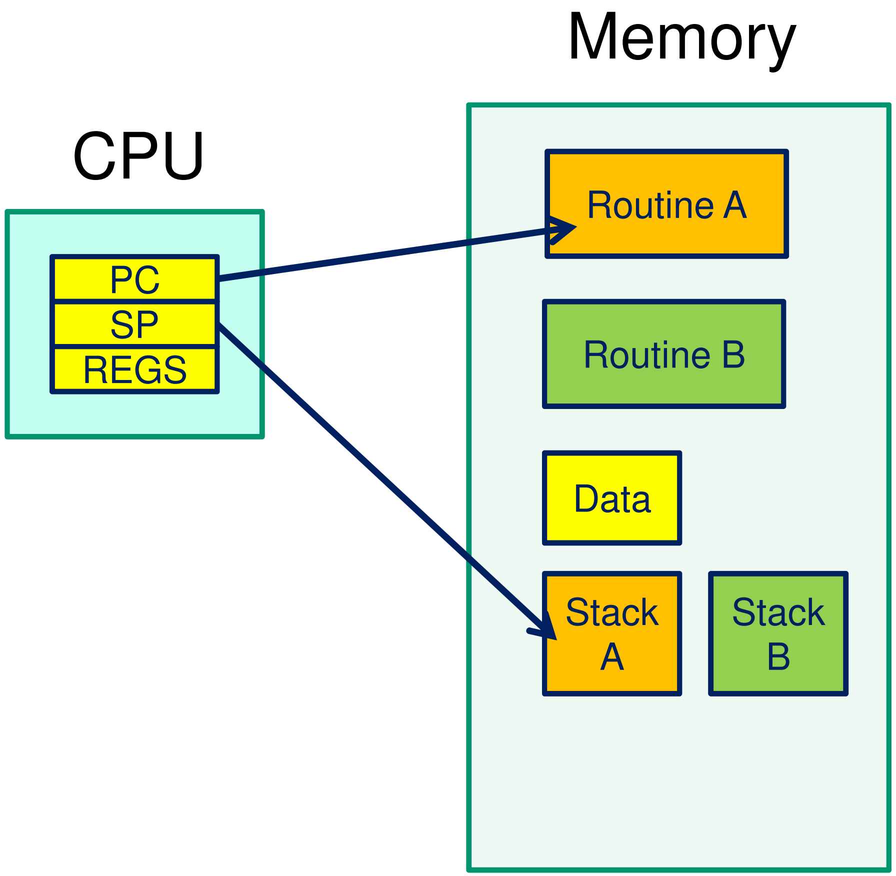
What is ‘a’?

```
int a; /* global */

int func() {
    a = 1;
    if (a == 1) {
        yield();
        a = 2;
    }
    return a;
}
```

No concurrency issues/races as globals are exclusive between yields()

© Kevin Elphinstone

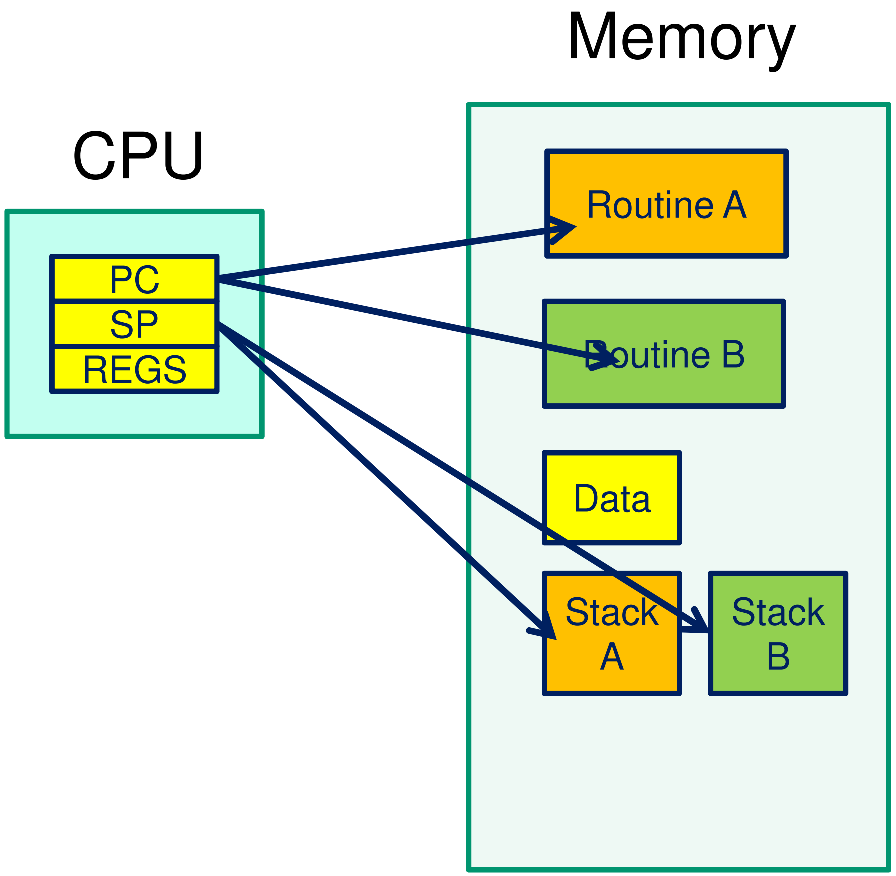
Co-routines Implementation strategy?



- Usually implemented with a stack per routine
- Preserves current state of execution of the routine

© Kevin Elphinstone

Co-routines



- Routine A state currently loaded
- Routine B state stored on stack
- Routine switch from A → B
  - saving state of A a
    - regs, sp, pc
  - restoring the state of B
    - regs, sp, pc

© Kevin Elphinstone

A hypothetical yield()

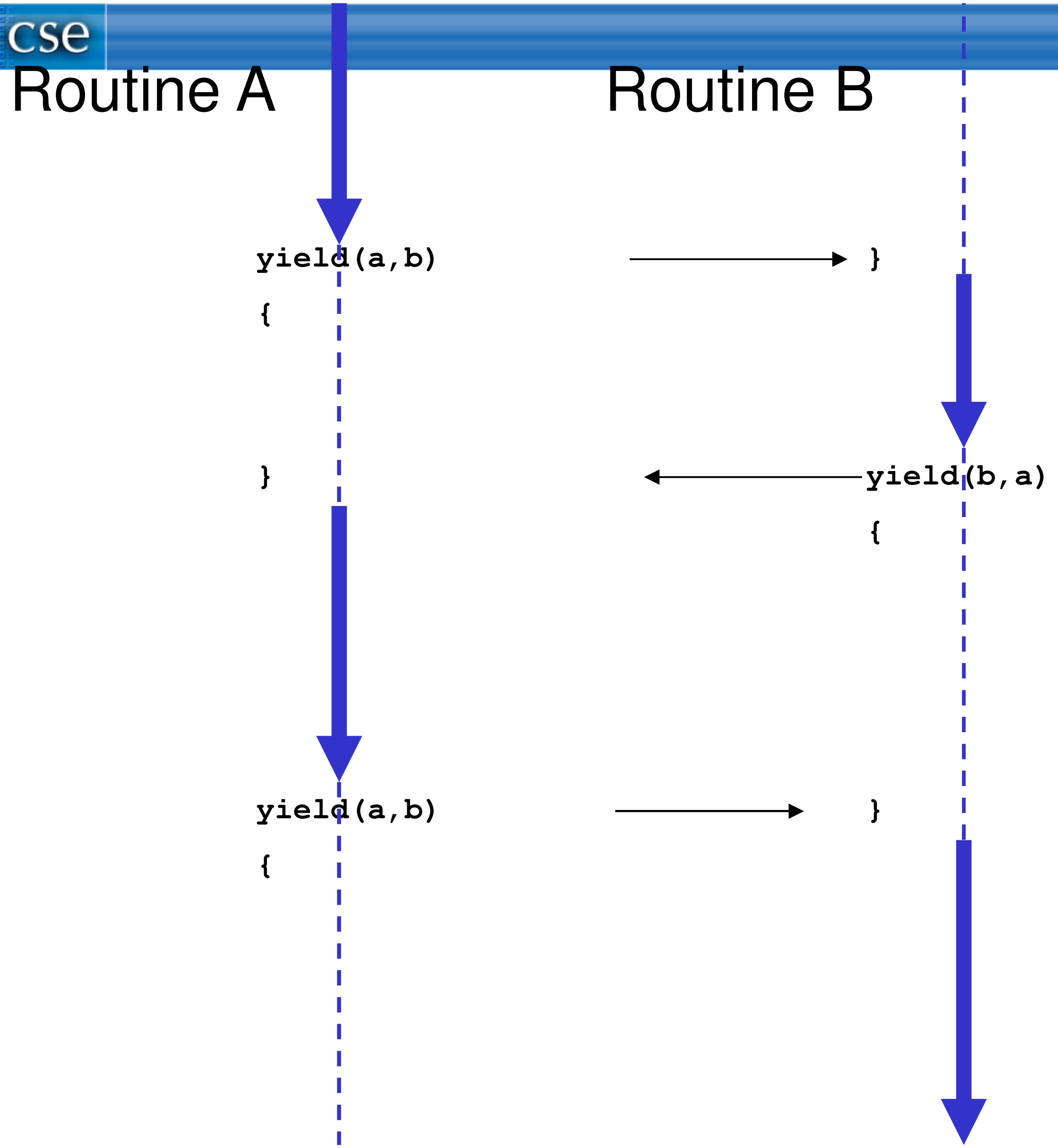
```
yield:
   /*
    * a0 contains a pointer to the previous routine's struct.
    * a1 contains a pointer to the new routine's struct.
    *
    * The registers get saved on the stack, namely:
    *
    *      s0-s8
    *      gp, ra
    *
    *
    */

   /* Allocate stack space for saving 11 registers. 11*4 = 44 */
   addi sp, sp, -44
```

```
/* Save the registers */
   sw    ra, 40(sp)
   sw    gp, 36(sp)
   sw    s8, 32(sp)
   sw    s7, 28(sp)
   sw    s6, 24(sp)
   sw    s5, 20(sp)
   sw    s4, 16(sp)
   sw    s3, 12(sp)
   sw    s2, 8(sp)
   sw    s1, 4(sp)
   sw    s0, 0(sp)

   /* Store the old stack pointer in the old pcb */
   sw    sp, 0(a0)
```

Save the registers that the ‘C’ procedure calling convention expects preserved

```
/* Get the new stack pointer from the new pcb */
   lw      sp, 0(a1)
   nop                  /* delay slot for load */

/* Now, restore the registers */
   lw      s0, 0(sp)
   lw      s1, 4(sp)
   lw      s2, 8(sp)
   lw      s3, 12(sp)
   lw      s4, 16(sp)
   lw      s5, 20(sp)
   lw      s6, 24(sp)
   lw      s7, 28(sp)
   lw      s8, 32(sp)
   lw      gp, 36(sp)
   lw      ra, 40(sp)
   nop                           /* delay slot for load */

   /* and return. */
   j ra
   addi    sp, sp, 44            /* in delay slot */
   .end mips_switch
```

Yield



What is ‘a’?

```
int a; /* global */

int func() {
    a = 1;
    func2();
    if (a == 1) {
        a = 2;
    }
    return a;
}
```


© Kevin Elphinstone

Coroutines

- What about subroutines combined with coroutines
  - i.e. what is the issue with calling subroutines?
- Subroutine calling might involve an implicit yield()
  - potentially creates a race on globals
    - either understand where all yields lie, or
    - cooperative multithreading

© Kevin Elphinstone

Cooperative Multithreading

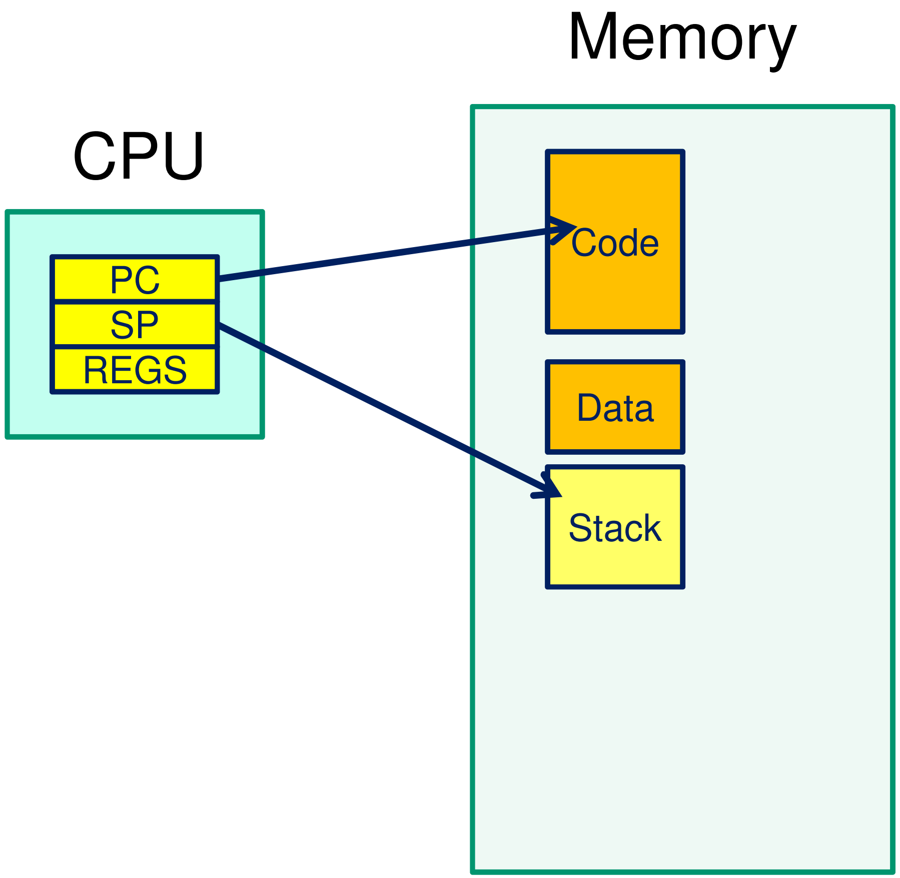
- Also called green threads
- Conservatively assumes a multithreading model
  - i.e. uses synchronisation to avoid races,
  - and makes no assumption about subroutine behaviour
    - Everything thing can potentially yield()

© Kevin Elphinstone

```
int a; /* global */

int func() {
    int t;
    lock_aquire(lock)
    a = 1;
    func2();
    if (a == 1) {
        a = 2;
    }
    t = a;
    lock_release(lock);
    return t;
}
```


© Kevin Elphinstone

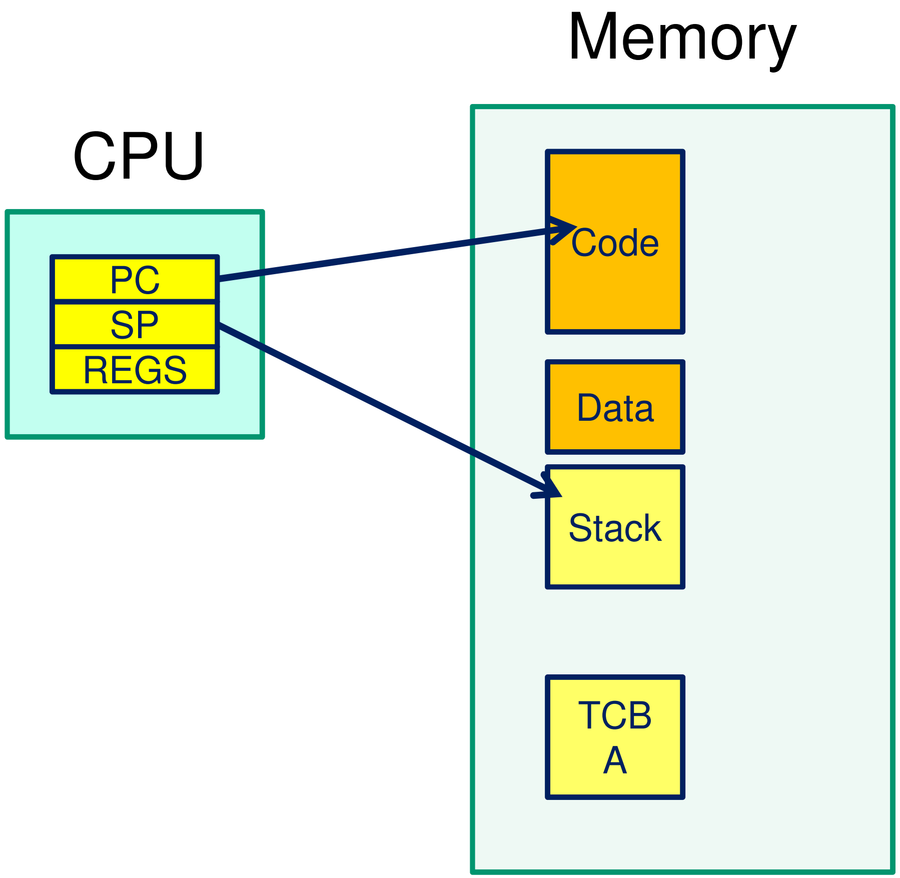
A Thread



- Thread attributes
  - processor related
    - memory
    - program counter
    - stack pointer
    - registers (and status)
  - OS/package related
    - state (running/blocked)
    - identity
    - scheduler (queues, priority)
    - etc…

THE UNIVERSITY OF NEW SOUTH WALES
© Kevin Elphinstone

Thread Control Block

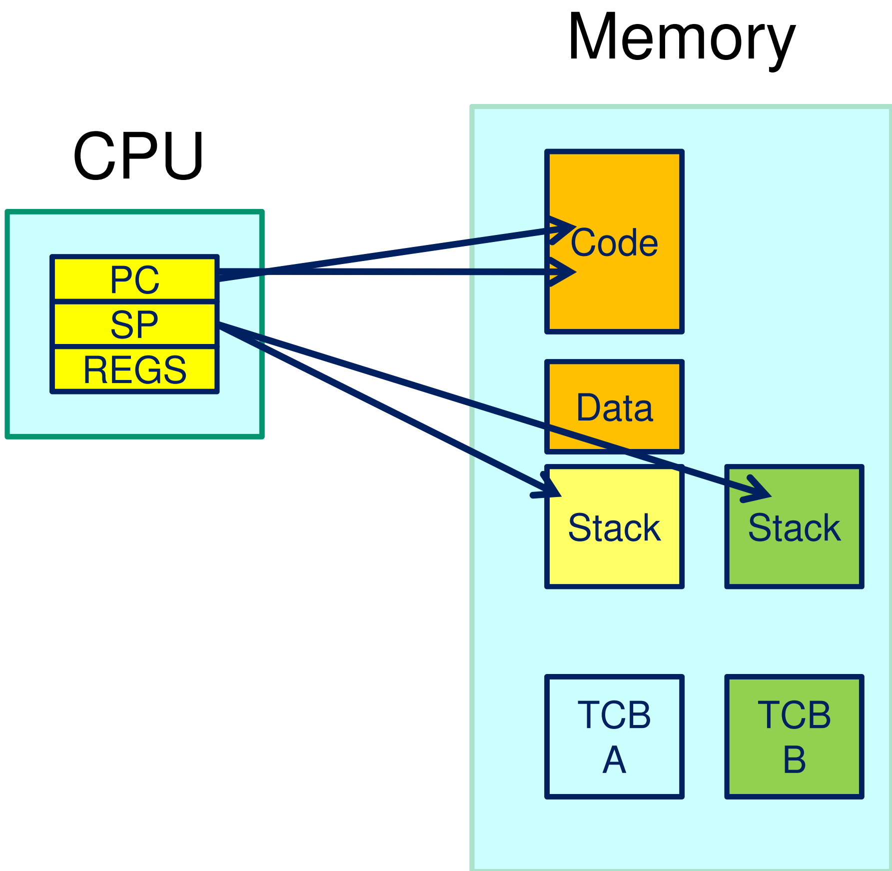


- To support more than a single thread we to need store thread state and attributes
- Stored in thread control block
  - also indirectly in stack

THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

Thread A and Thread B



- Thread A state currently loaded
- Thread B state stored in TCB B
- Thread switch from A → B
  - saving state of thread a
    - regs, sp, pc
  - restoring the state of thread B
    - regs, sp, pc
- Note: registers and PC can be stored on the stack, and only SP stored in TCB

THE UNIVERSITY OF
NEW SOUTH WALES

© Kevin Elphinstone

Approximate OS

```
mi_switch()
{
  struct thread *cur, *next;
  next = scheduler();

/* update curthread */
  cur = curthread;
  curthread = next;

/*
 * Call the machine-dependent code that actually does the
 * context switch.
 */
  md_switch(&cur->t_pcb, &next->t_pcb);

/* back running in same thread */

}
```

Note: global variable curthread

THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

OS/161 mips_switch

```
mips_switch:
   /*
    * a0 contains a pointer to the old thread's struct pcb.
    * a1 contains a pointer to the new thread's struct pcb.
    *
    * The only thing we touch in the pcb is the first word, which
    * we save the stack pointer in. The other registers get saved
    * on the stack, namely:
    *
    *      s0-s8
    *      gp, ra
    *
    * The order must match arch/mips/include/switchframe.h.
    */

   /* Allocate stack space for saving 11 registers. 11*4 = 44 */
   addi sp, sp, -44
```

OS/161 mips_switch

```
/* Save the registers */
   sw    ra, 40(sp)
   sw    gp, 36(sp)
   sw    s8, 32(sp)
   sw    s7, 28(sp)
   sw    s6, 24(sp)
   sw    s5, 20(sp)
   sw    s4, 16(sp)
   sw    s3, 12(sp)
   sw    s2, 8(sp)
   sw    s1, 4(sp)
   sw    s0, 0(sp)

   /* Store the old stack pointer in the old pcb */
   sw    sp, 0(a0)
```

Save the registers that the ‘C’ procedure calling convention expects preserved

OS/161 mips_switch

```
/* Get the new stack pointer from the new pcb */
   lw      sp, 0(a1)
   nop                /* delay slot for load */

/* Now, restore the registers */
   lw      s0, 0(sp)
   lw      s1, 4(sp)
   lw      s2, 8(sp)
   lw      s3, 12(sp)
   lw      s4, 16(sp)
   lw      s5, 20(sp)
   lw      s6, 24(sp)
   lw      s7, 28(sp)
   lw      s8, 32(sp)
   lw      gp, 36(sp)
   lw      ra, 40(sp)
   nop                         /* delay slot for load */

   /* and return. */
   j ra
   addi    sp, sp, 44          /* in delay slot */
   .end mips_switch
```

Thread Switch

Thread a

```
mips_switch(a,b)
{

}

mips_switch(a,b)
{
```

Thread b

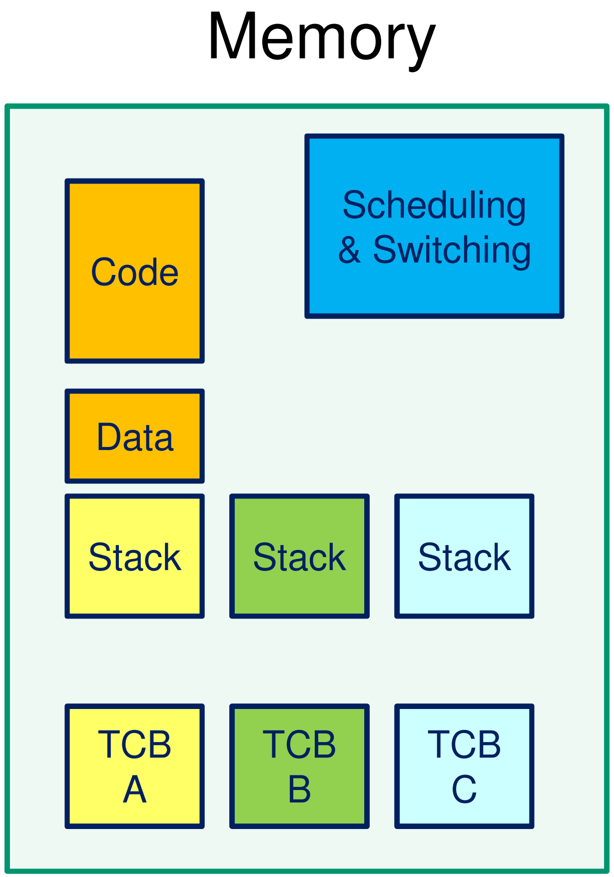
```
}

mips_switch(b,a)
{

}
```

Preemptive Multithreading

- Switch can be triggered by asynchronous external event
  – timer interrupt
- Asynch event saves current state
  – on current stack, if in kernel (nesting)
  – on kernel stack or in TCB if coming from user-level
- call thread_switch()

© Kevin Elphinstone

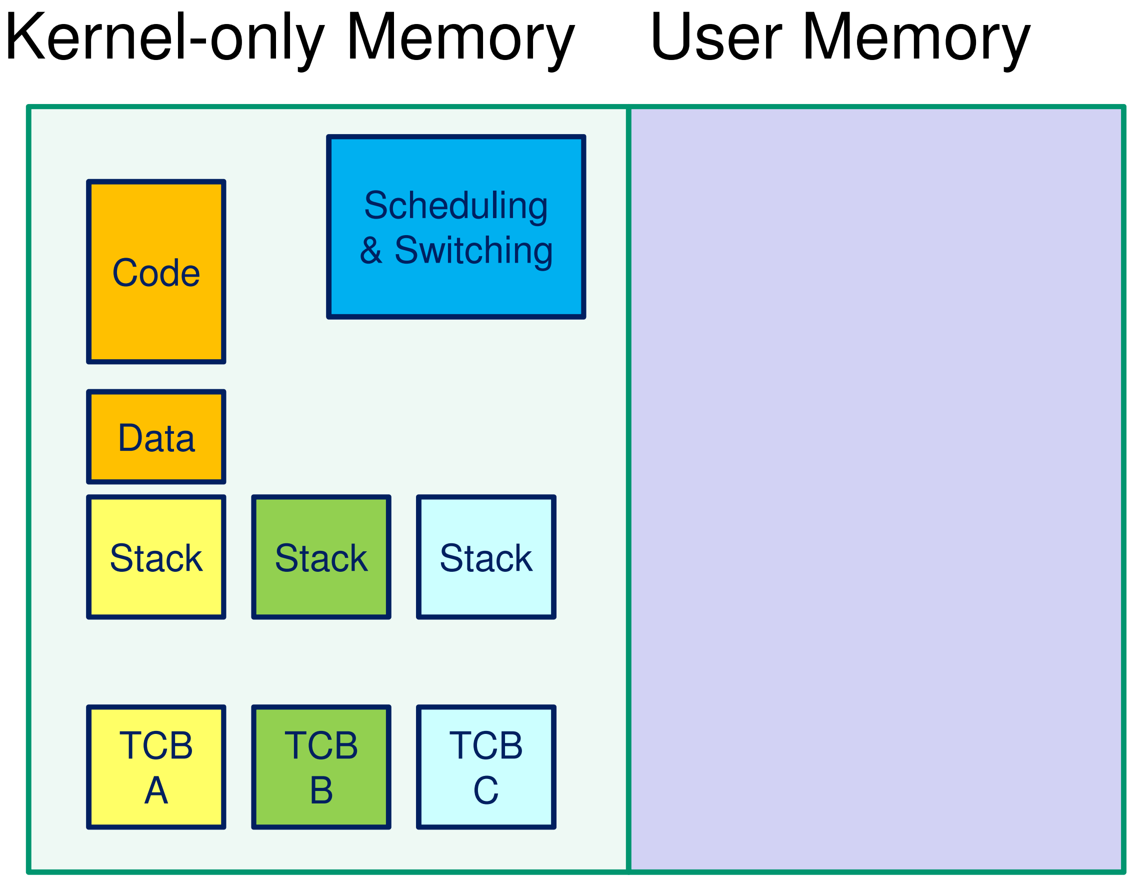
Threads on simple CPU



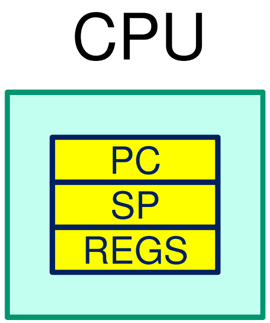
THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

Threads on CPU with protection

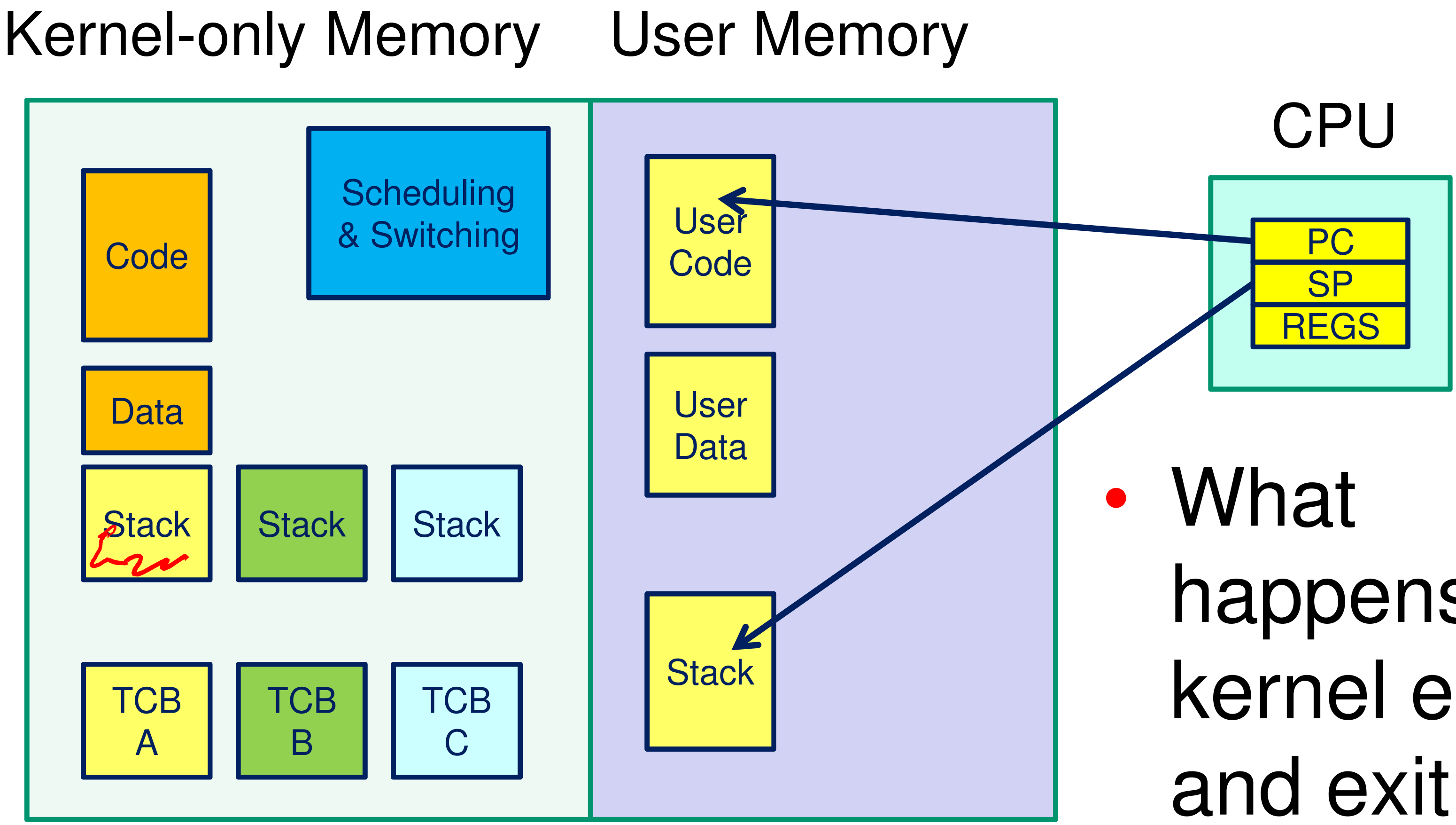


- What is missing?



© Kevin Elphinstone

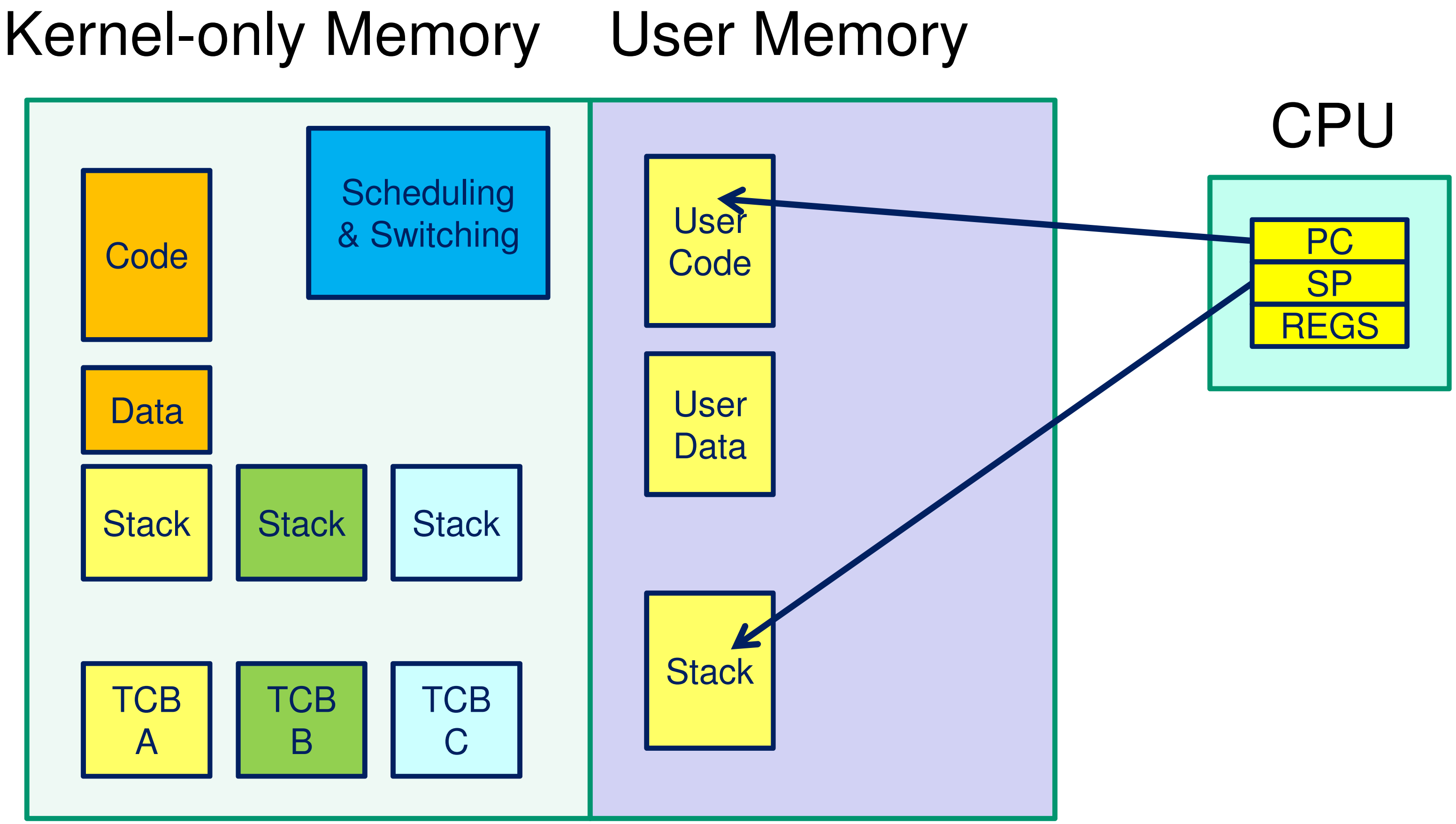
Threads on CPU with protection



- What happens on kernel entry and exit?

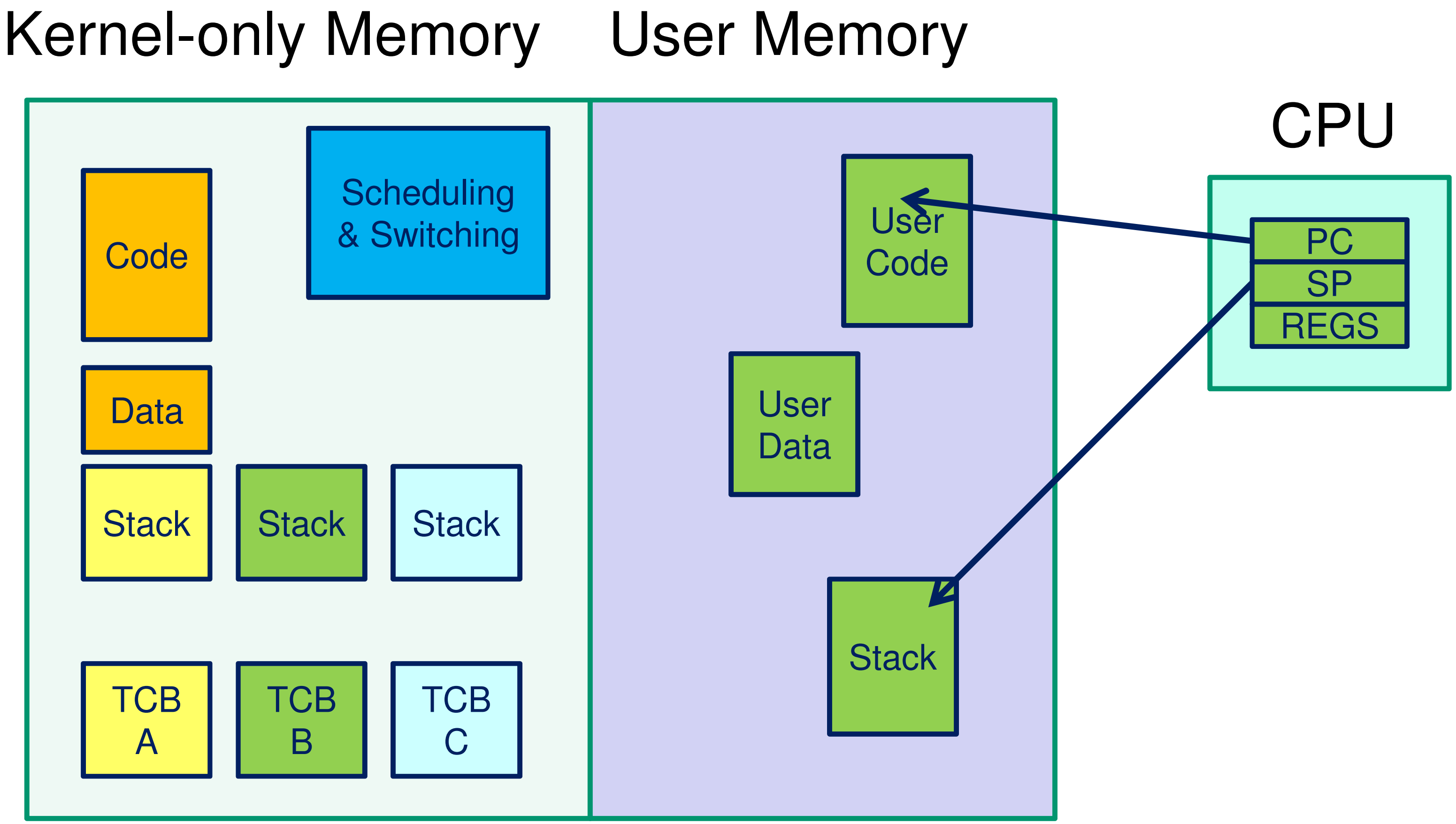
© Kevin Elphinstone

Switching Address Spaces on Thread Switch = Processes



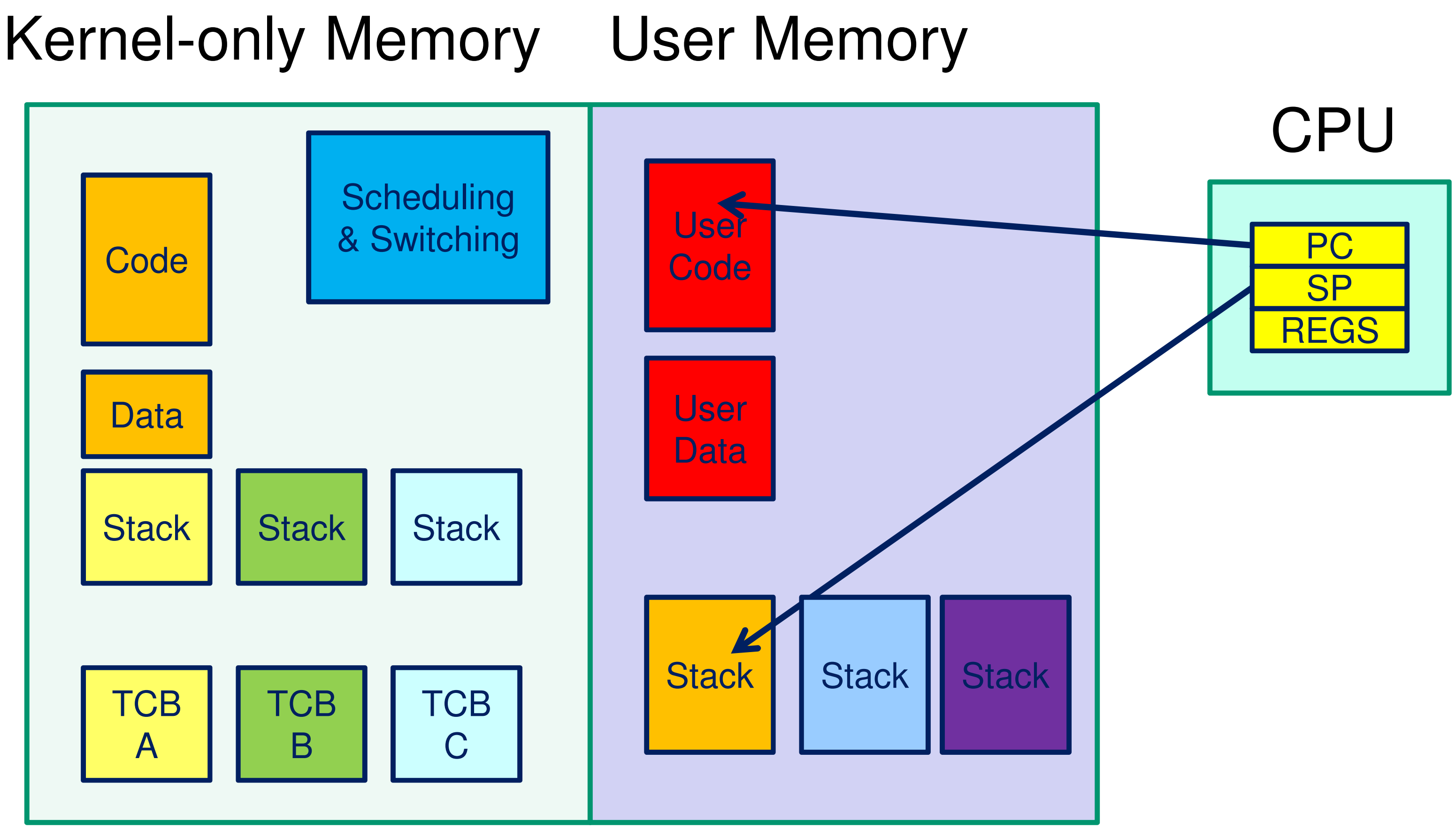
© Kevin Elphinstone

Switching Address Spaces on Thread Switch = Processes



© Kevin Elphinstone

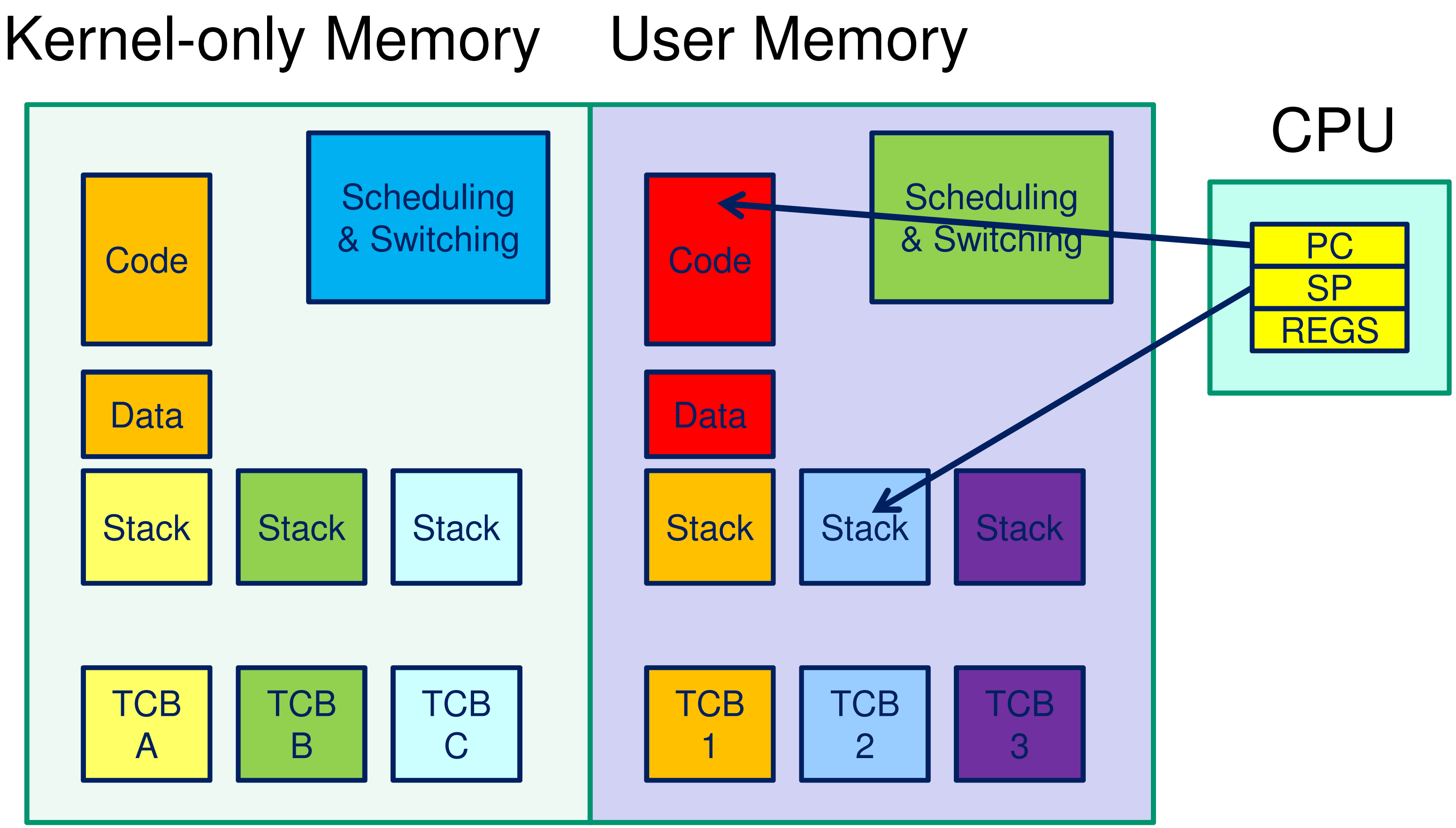
What is this?



THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

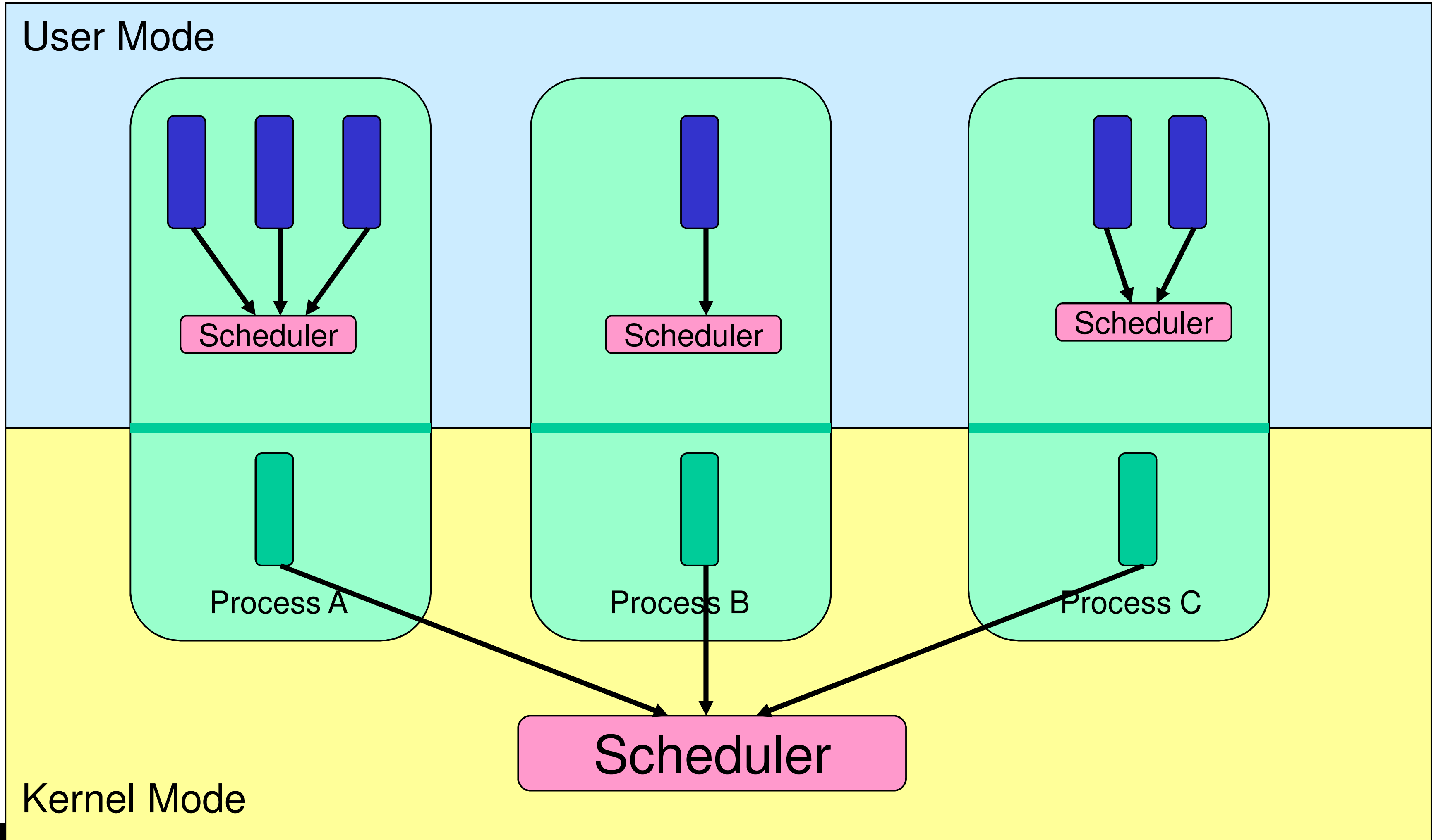
What is this?



THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

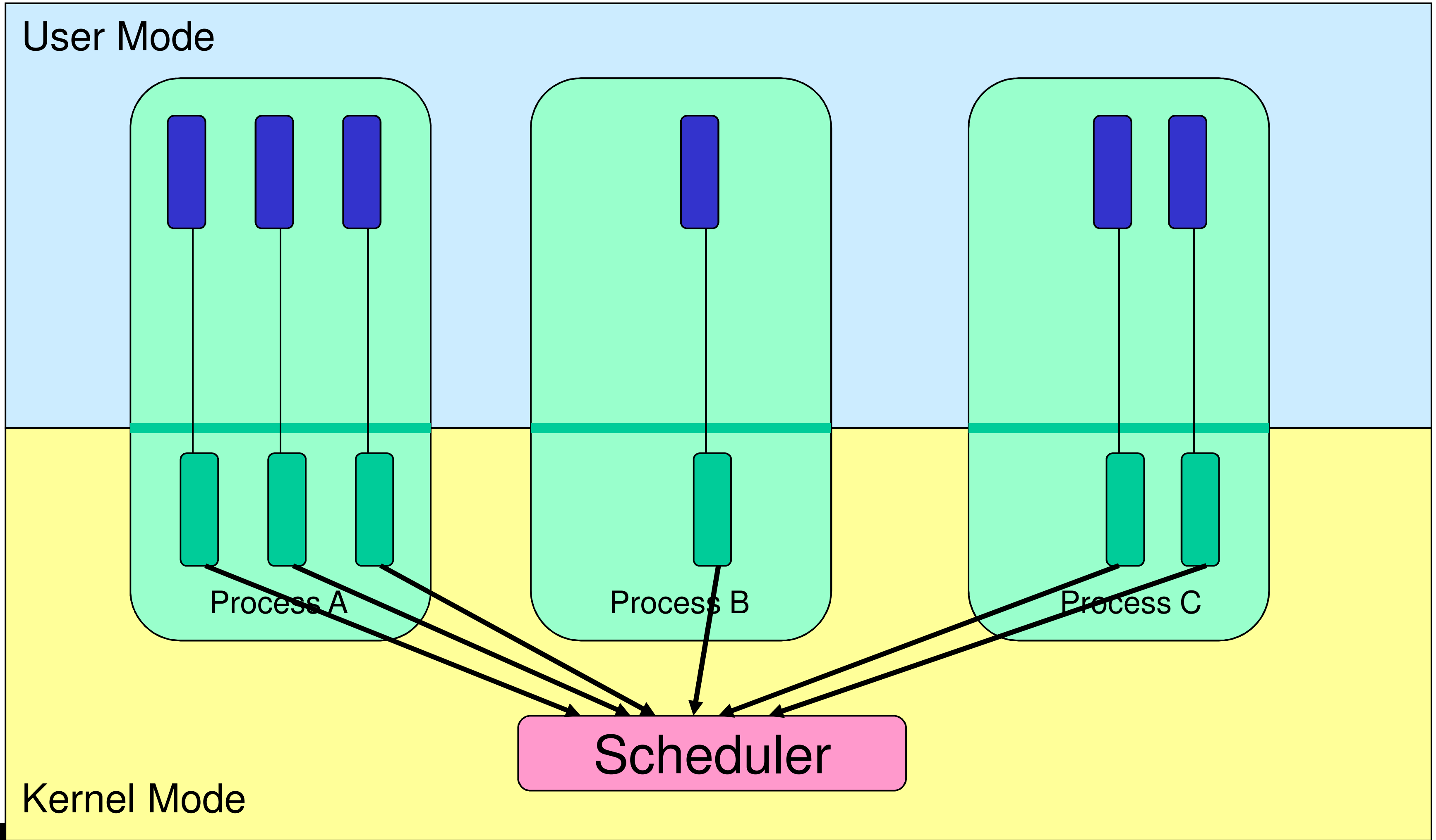
User-level Threads



User-level Threads

- ✓ Fast thread management (creation, deletion, switching, synchronisation…)
- ✘ Blocking blocks all threads in a process
  - Syscalls
  - Page faults
- ✘ No thread-level parallelism on multiprocessor

Kernel-Level Threads



THE UNIVERSITY OF
NEW SOUTH WALES

Kernel-level Threads

- ✘ Slow thread management (creation, deletion, switching, synchronisation…)
  - System calls
- ✓ Blocking blocks only the appropriate thread in a process
- ✓ Thread-level parallelism on multiprocessor

Continuations (in Functional Languages)

- Definition of a *Continuation*
  - representation of an instance of a computation at a point in time

© Kevin Elphinstone

`call/cc` in Scheme

`call/cc` = `call-with-current-continuation`

- A function
  - takes a function (`f`) to call as an argument
  - calls that function with a reference to current continuation (`cont`) as an argument
  - when `cont` is later called, the continuation is restored.
    - The argument to `cont` is returned from to the caller of `call/cc`

© Kevin Elphinstone

```
…
(call-with-current-continuation f)
…

(f (x)
   …
   (x return_arg)
)
```


THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

Note

- For C-programmers, call/cc is effectively saving stack, and PC

© Kevin Elphinstone

Simple Example

```
(define (f arg)
  (arg 2)
  3)

(display (f (lambda (x) x))); displays 3

(display (call-with-current-continuation f))
;displays 2
```

Derived from http://en.wikipedia.org/wiki/Call-with-current-continuation

© Kevin Elphinstone

Another Simple Example

```
(define the-continuation #f)
(define (test)
  (let ((i 0))
   ; call/cc calls its first function argument, passing
   ; a continuation variable representing this point in
   ; the program as the argument to that function.
   ;
   ; In this case, the function argument assigns that
   ; continuation to the variable the-continuation.
   ;
   (call/cc (lambda (k) (set! the-continuation k)))
   ;
   ; The next time the-continuation is called, we start here.
   (set! i (+ i 1))
   i))
```


© Kevin Elphinstone

Another Simple Example

```
> (test)
  1
> (the-continuation)
  2
> (the-continuation)
  3
> ; stores the current continuation (which will print 4 next) away
> (define another-continuation the-continuation)
> (test) ; resets the-continuation
  1
> (the-continuation)
  2
> (another-continuation) ; uses the previously stored continuation
 4
```

Derived from http://en.wikipedia.org/wiki/Continuation

© Kevin Elphinstone

Yet Another Simple Example

```
;;; Return the first element in LST for which WANTED? returns a true
;;; value.
(define (search wanted? lst)
  (call/cc (lambda (arg)
    (for-each (lambda (element)
      (if (wanted? element)
        (arg element)))
      lst)
    #f)))
```

Derived from http://community.schemewiki.org/?call-with-current-continuation

© Kevin Elphinstone

Coroutine Example

```
;;; This starts a new routine running (proc).
(define (fork proc)
  (call/cc (lambda (k)
   (enqueue k)
   (proc))))

;;; This yields the processor to another routine, if there is one.
 (define (yield)
   (call/cc
    (lambda (k)
     (enqueue k)
     ((dequeue)))))
```


© Kevin Elphinstone

Continuations

- A method to snapshot current state and return to the computation in the future
- In the general case, as many times as we like
- Variations and language environments (e.g. in C) result in less general continuations
  - e.g. one shot continuations, setjmp()/longjump()

THE UNIVERSITY OF NEW SOUTH WALES

© Kevin Elphinstone

What should be a kernel's execution model?

Note that the same question can be asked of applications

© Kevin Elphinstone

The two alternatives

No one correct answer
From the view of the designer there are two alternatives.

Single Kernel Stack

Only one stack is used all the time to support all user threads.

Per-Thread Kernel Stack

Every user thread has a kernel stack.

Per-Thread Kernel Stack

Processes Model

- A thread's kernel state is implicitly encoded in the kernel activation stack
  - If the thread must block in-kernel, we can simply switch from the current stack, to another threads stack until thread is resumed
  - Resuming is simply switching back to the original stack
  - Preemption is easy

```
example(arg1, arg2) {
    P1(arg1, arg2);
    if (need_to_block) {
        thread_block();
        P2(arg2);
    } else {
        P3();
    }
    /* return control to user */
    return SUCCESS;
}
```

Single Kernel Stack

"Event" or "Interrupt" Model

- How do we use a single kernel stack to support many threads?
  – Issue: How are system calls that block handled?

⇒ either *continuations*

– Using Continuations to Implement Thread Management and Communication in Operating Systems. [Draves *et al.*, 1991]

⇒ or *stateless kernel* (event model)

- Interface and Execution Models in the Fluke Kernel. [Ford *et al.*, 1999]
- Also seL4

Continuations

- State required to resume a blocked thread is explicitly saved in a TCB
  - A function pointer
  - Variables
- Stack can be discarded and reused to support new thread
- Resuming involves discarding current stack, restoring the continuation, and continuing

```
example(arg1, arg2) {
   P1(arg1, arg2);
   if (need_to_block) {
       save_arg_in_TCB;
       thread_block(example_continue);
       /* NOT REACHED */
   } else {
       P3();
   }
   thread_syscall_return(SUCCESS);
}
example_continue() {
   recover_arg2_from_TCB;
   P2(recovered arg2);
   thread_syscall_return(SUCCESS);
}
```

Stateless Kernel

- System calls can not block within the kernel
  - If syscall must block (resource unavailable)
    - Modify user-state such that syscall is restarted when resources become available
    - Stack content is discarded (functions all return)
- Preemption within kernel difficult to achieve.

  ⇒ Must (partially) roll syscall back to a restart point
- Avoid page faults within kernel code

  ⇒ Syscall arguments in registers
    - Page fault during roll-back to restart (due to a page fault) is fatal.

IPC implementation examples – Per thread stack

```
msg_send_rcv(msg, option,
        send_size, rcv_size, ...) {

   rc = msg_send(msg, option,
        send_size, ...);

   if (rc != SUCCESS)
   return rc;

   rc = msg_rcv(msg, option, rcv_size, ...);
   return rc;
}
```

Send and Receive system call implemented by a non-blocking send part and a blocking receive part.

Block inside msg_rcv if no message available

IPC examples - Continuations

```
msg_send_rcv(msg, option,
        send_size, rcv_size, ...) {
   rc = msg_send(msg, option,
        send_size, ...);
   if (rc != SUCCESS)
        return rc;
   cur_thread->continuation.msg = msg;
   cur_thread->continuation.option = option;
   cur_thread->continuation.rcv_size = rcv_size;
        ...
   rc = msg_rcv(msg, option, rcv_size, ...,
        msg_rcv_continue);
   return rc;
}
msg_rcv_continue() {
   msg = cur_thread->continuation.msg;
   option = cur_thread->continuation.option;
   rcv_size = cur_thread->continuation.rcv_size;
        ...
   rc = msg_rcv(msg, option, rcv_size, ...,
        msg_rcv_continue);
   return rc;
}
```

The function to continue with if blocked

IPC Examples – stateless kernel

```
msg_send_rcv(cur_thread) {
   rc = msg_send(cur_thread);
   if (rc != SUCCESS)
        return rc;

   rc = msg_rcv(cur_thread);
   if (rc == WOULD_BLOCK) {
       set_pc(cur_thread, msg_rcv_entry);
       return RESCHEDULE;
   }
   return rc;
}
```

Set user-level PC to restart msg_rcv only

RESCHEDULE changes curthread on exiting the kernel

Single Kernel Stack

per Processor, event model

- either *continuations*
  - complex to program
  - must be conservative in state saved (any state that *might* be needed)
  - Mach (Draves), L4Ka::Strawberry, NICTA Pistachio, OKL4
- or *stateless kernel*
  - no kernel threads, kernel not interruptible, difficult to program
  - request all potentially required resources prior to execution
  - blocking syscalls must always be re-startable
  - Processor-provided stack management can get in the way
  - system calls need to be kept simple “atomic”.
  - e.g. the fluke kernel from Utah
- low cache footprint
  - always the same stack is used !
  - reduced memory footprint

Per-Thread Kernel Stack

- simple, flexible
  - kernel can always use threads, no special techniques required for keeping state while interrupted / blocked
  - no conceptual difference between kernel mode and user mode
  - e.g. traditional L4, Linux, Windows, OS/161
- but larger cache footprint
- and larger memory consumption